

1 **CONTROLLING CREST VERTICAL CURVATURE RATES BASED ON**
2 **VARIABLE GRADE STOPPING SIGHT DISTANCE CALCULATION**
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1 ABSTRACT

2 Stopping Sight Distance (SSD) is a key control element which directly affects the
3 suggested values of crucial road design parameters. Although there is a significant
4 difference in SSD values between upgrades and downgrades, many Design Policies ignore
5 the grade effect during vehicle braking on variable grades. Such a case is experienced
6 during the determination of crest vertical curvature rates where the relevant SSD values are
7 extracted assuming leveled road geometry. The paper investigates possible deficiency of
8 this approach, regarding cases where the length of the vertical curve exceeds the control
9 SSD values.

10 The authors addressed the SSD calculation on variable grades during the braking
11 process through a recently developed process that relates the point mass model and the
12 laws of mechanics.

13 For a wide range of design speed values, charts illustrating the required SSDs were
14 drawn as a function of negative ending grade values related to control crest vertical curve
15 rates, as adopted by AASHTO. The process revealed numerous SSD shortage areas, where
16 the authors provided revised crest vertical curvature rates, in order to grant SSD adequacy
17 throughout the vehicles' breaking process.

18 Furthermore, the authors aiming to provide the designers with ready-to-use vertical
19 design tool associated the amended vertical curvature rates to AASHTO's road functional
20 classification, as a function of the crest vertical curve's exit grade value.

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1 INTRODUCTION

2 Sight distance is the length of roadway ahead that is visible to the driver (1). The minimum
3 sight distance known as Stopping Sight Distance (SSD), is a highway geometric design
4 element of fundamental importance. SSD must be provided at every point along the road
5 surface, thus affecting critical road design parameters which directly impose economic
6 considerations on both new road designs as well as road improvement projects (e.g. 1-4).

7 The American Association of State Transportation Officials (AASHTO) design
8 guide titled “A Policy on Geometric Design of Highways and Streets” (commonly referred
9 to as the Green Book) notes that for vertical curves, the grade effect is somewhat balanced
10 and there is no need to adjust SSD due to grade (1). However, there is a significant
11 difference in SSD values between upgrades and downgrades also noted in the Green Book
12 (1). Moreover, the Green Book guidelines state that *the minimum lengths of crest vertical*
13 *curves, based on sight distance criteria, generally are satisfactory from the standpoint of*
14 *safety, comfort and appearance*, implying that the vertical curvature rate is adequately
15 determined through the suggested maximum grade control values, at least regarding
16 normal design cases and eliminating areas such as decision areas (e.g. ramp exit gores
17 etc.).

18 Since maximum grade values vary depending on the road’s functional
19 classification, the objective of the paper is to investigate the sufficiency of the suggested
20 crest vertical curvature rates by AASHTO from the grade control point of view considering
21 that their current definition is based on a level road surface.

22

23 BACKGROUND

24 According to existing design policies (e.g. 1-4), the SSD of a vehicle consists of two
25 distance components: the distance traveled during driver’s perception – reaction time to the
26 instant the brakes are applied and the distance while braking to stop the vehicle. For
27 example, the SSD model adopted by the Green Book is represented by Equation (1).

$$28 \quad SSD = V_o t_{pr} + \frac{V_o^2}{2g\left(\frac{a}{g} + s\right)} \quad (1)$$

29 where :

30 V_o (m/sec) : vehicle initial speed

31 t_{pr} (sec) : driver’s perception – reaction time [2.5sec; AASHTO, 2011]

32 g (m/sec²) : gravitational constant [9.81m/sec² (32.2ft/sec²)]

33 a (m/sec²) : vehicle deceleration rate [3.4m/sec² (11.2ft/sec²); AASHTO, 2011]

34 s (%/100) : road grade [(+) upgrades, (-) downgrades]

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36 Current road design standards (e.g. 1-4), determine minimum lengths of crest
37 vertical curves as well as the consequent rate of vertical curvature based on SSD provision.
38 Equation 2 and Equation 3 illustrate the parameters utilized in determining the length of
39 crest vertical curves (L), where the vertical curvature rate definition (K) is shown in
40 Equation 4. The values of K derived for SSD<L, apply without significant difference also
41 for the case SSD>L.

$$L = \frac{(s_2 - s_1)SSD^2}{200(\sqrt{h_1} + \sqrt{h_2})^2} \quad SSD < L \quad (2)$$

$$L = 2SSD - \frac{200(\sqrt{h_1} + \sqrt{h_2})^2}{s_2 - s_1} \quad SSD > L \quad (3)$$

$$K = \frac{L}{s_2 - s_1} \quad (4)$$

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3 where:

4 K : vertical curvature rate (m)

5 L : length of vertical curve (m)

6 SSD : stopping sight distance (m)

7 h_1 : driver eye height (m) [1.08m (3.50ft); AASHTO 2011]

8 h_2 : object height (m) [0.60m (2.00ft); AASHTO 2011]

9 s_1, s_2 : grade values (%)

10
11 Most of the current efforts to evaluate SSD adequacy are based on 2-Dimensional
12 models. Moreover, such efforts present a fragmented approach (i.e., examination of single
13 elements) in investigating the adequacy of SSD and may underestimate or overestimate the
14 available sight distance and thus possibly lead to safety violations (5).

15 The use of the vertical profile is a common approach in determining adequacy of
16 SSD and typically roadway geometry is evaluated to ensure proper SSD requirements. This
17 approach however fails to examine the continuity of the vertical alignment especially in
18 crest curves and their exiting grades. This was noted in the past as a potential safety issue
19 (6, 7).

20 There has been very little, if any, work on this topic even though there is the
21 potential for requiring different lengths for vertical crest curves when exit grades are
22 considered.

23 Table 1 illustrates the adopted design control values (rounded values) in the Green
24 Book for SSD and crest vertical curvature rates respectively regarding various design
25 speed values, based on the above equations (1). It should be noted here that these values
26 are reflective of daylight conditions, since in most design policies the majority of guidance
27 is provided for daylight conditions unless otherwise is developed (e.g., sag curves).

28 From Equations 2 and 4, it can be seen that the delivered crest vertical curvature
29 rate is not grade dependent. The grade effect is indirectly introduced from the SSD
30 determination, where regarding the AASHTO guidelines, 0 percent is assumed.

1 **TABLE 1 Design Control Values for SSD and Crest Vertical Curvature Rates**

Metric			US Customary		
V _{design} (km/h)	SSD (m)	K (m)	V _{design} (mph)	SSD (ft)	K (ft)
50	65	7	30	200	19
60	85	11	40	305	44
70	105	17	45	360	61
80	130	26	50	425	84
90	160	39	55	495	114
100	185	52	60	570	151
110	220	74	70	730	247
120	250	95	75	820	312
130	285	124	80	910	384

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4 In other design guidelines, although the same equations are utilized, the adoption of
5 control crest vertical curvature rates is addressed through various considerations.

6 For example in the German RAA design guidelines for freeways (2) the SSD
7 values used for the crest vertical curvature rate determination are reached for most
8 unfavorable (negative) grade values.

9 From another viewpoint, the relevant Greek OMOE-X design guidelines, in cases
10 of two-lane rural roads, introduce a +10km/h safety margin in the SSD calculation. For
11 example in order to determine the crest vertical curvature rate for a design speed of
12 60km/h, the SSD value utilized refers to 70km/h (3).

13 The possible deficiency of the current SSD determination approach as adopted in
14 the Green Book will be furthermore examined by introducing the grade effect during
15 braking on crest vertical transitions.

16 **BRAKING CALCULATION ON VARIABLE GRADES**

17 The current road design practices sufficiently address through Equation 1 the grade effect
18 during the SSD procedure. However, the braking distance calculation for crest or sag
19 curves that have variable grades is based on rather balanced assumptions. In most cases the
20 algebraic mean grade value of the tangents preceding and succeeding the vertical curve is
21 adopted. This concept though, fails to deliver the actual braking distances in all cases
22 where the braking distance is less than the vertical curve length.

23 The work presented here regarding the evaluation of the effect of the variable grade
24 during the braking process is based on a recently developed practice by the authors (7)
25 briefly presented below.

26 Simple considerations based on the laws of mechanics through Equations 5 and 6
27 were applied, assuming time steps of 0.01sec, in order to determine both the instantaneous
28 vehicle speed and pure braking distance (SSD minus distance travelled during driver's
29 perception-reaction time).
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$$1 \quad V_{i+1} = V_i - g \left(\frac{a}{g} + s \right) t \quad (5)$$

$$2 \quad BD_i = V_i t - \frac{1}{2} g \left(\frac{a}{g} + s \right) t^2 \quad (6)$$

3 where :

4 V_i (m/sec) : vehicle speed at a specific station i

5 V_{i+1} (m/sec) : vehicle speed reduced by the deceleration rate for $t = 0.01$ sec

6 t (sec) : time fragment ($t = 0.01$ sec)

7 s (%/100) : road grade in i position [(+) upgrades, (-) downgrades]

8 BD_i (m) : pure braking distance

9

10 By applying Equations 5 and 6 subsequently there is a sequence value $i=k-1$ where
 11 V_k becomes equal to zero. The corresponding value of $\sum BD_{k-1}$ represents the total vehicle
 12 pure braking distance for the initial value of vehicle speed. The variable grade SSD is
 13 produced by adding the final pure braking distance to the distance travelled during the
 14 driver's perception – reaction time (first component of Equation 1) as follows:

15

$$16 \quad SSD = V_o t_{pr} + \sum BD_{k-1} \quad (7)$$

17 where :

18 V_o (m/sec) : vehicle initial speed

19 $\sum BD_{k-1}$ (m): total vehicle pure braking distance for the initial value of vehicle speed

20

21 Summarizing the SSD determination on variable grade values, the formula shown in
 22 Equation 1 is used, enriched by the actual grade value portions.

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24 CREST VERTICAL CURVATURE RATE ADEQUACY INVESTIGATION

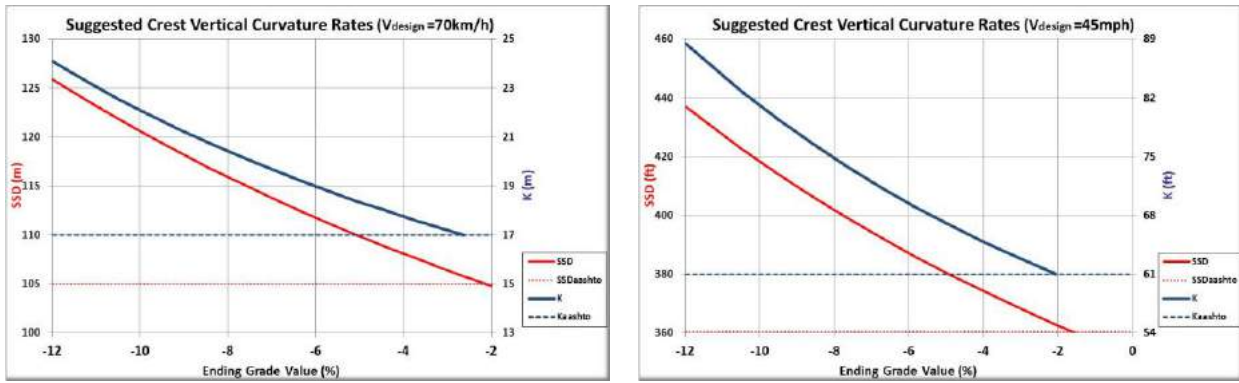
25 The potential Green Book inadequacy regarding the suggested vertical curvature rates,
 26 must be sought in the negative grade area since on one hand downgrades increase the SSD
 27 for a vehicle and on the other, the current vertical curvature rate definition is extracted
 28 assuming flat vertical geometry.

29 In the following paradigm, an investigation regarding the crest vertical curvature
 30 rate sufficiency assuming 70km/h (45 mph) design speed is carried out, by defining the
 31 actual SSD values along two specified positions. Figure 1 illustrates the length of the
 32 consequent vertical curve adopted by the Green Book ($K=17$ m or $K= 61$ ft), where the
 33 approach and exit grade values were set to +10% and -10% respectively. Two cases of
 34 vehicle braking are shown:

35• Case 1, where the braking procedure begins at the starting point of the vertical curve
 36 ($s=10\%$) where $SSD=96.3$ m (316ft)

37• Case 2, where the braking procedure begins at the midpoint of the vertical curve ($s=0\%$)
 38 where $SSD=113.0$ m (370ft)

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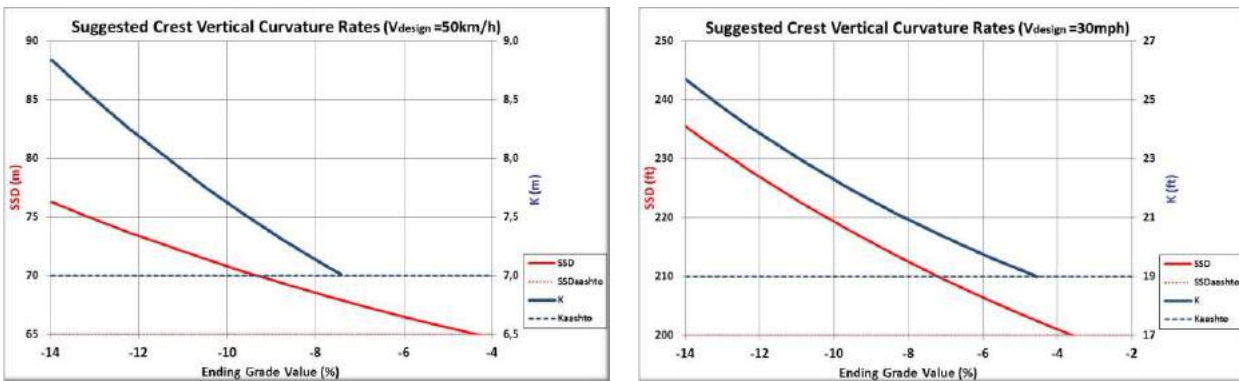
(a) $V_{design}=70\text{km/h}$

(b) $V_{design}=45\text{mph}$

FIGURE 2 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

In order the above procedure to be more integrated, Figure 3 through Figure 10 illustrate the suggested crest vertical curvature rates based on the vertical curve's ending grade value for design speed values of 50km/h, 60km/h, 80km/h, 90km/h, 100km/h, 110km/h, 120km/h and 130km/h, paired with the equivalent US Customary units respectively. These figures assess the braking effect on steep (mostly) variable downgrades and thus deliver ready-to-use crest vertical curvature rate values for designers.

At first glance of Figures 2 to 10, one would expect a similar variation between the values outlined through Case (a) and Case (b). However, even for exactly equivalent speed values, random rounding concepts result in slight variation on the delivered SSD and K values respectively.



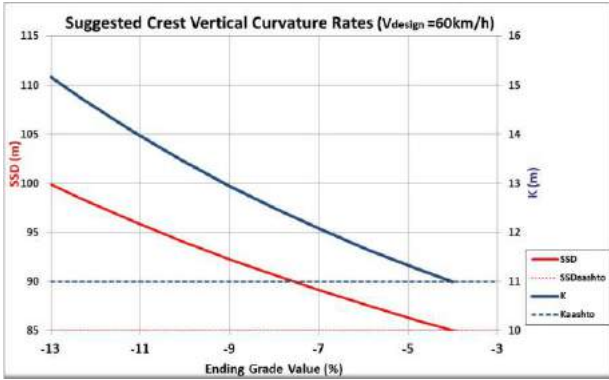
(a) $V_{design}=50\text{km/h}$

(b) $V_{design}=30\text{mph}$

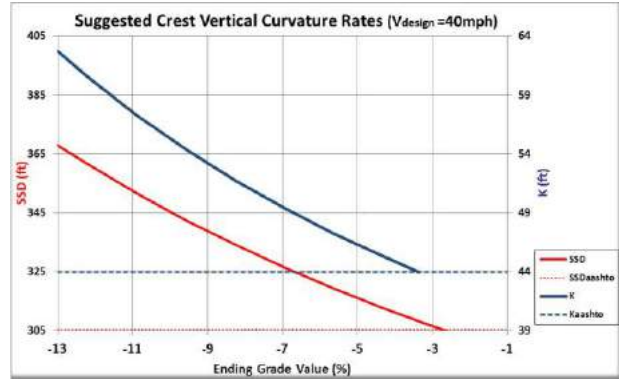
FIGURE 3 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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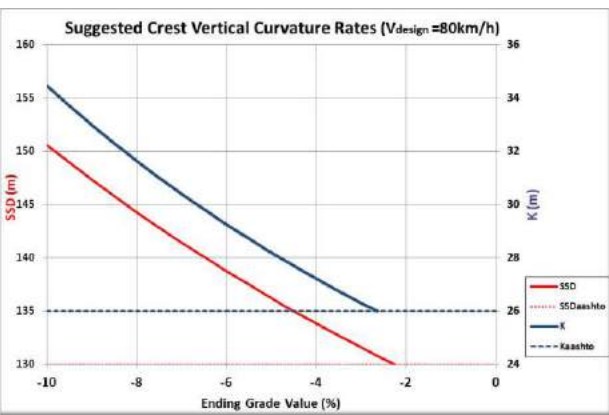
(a) $V_{design}=60\text{km/h}$



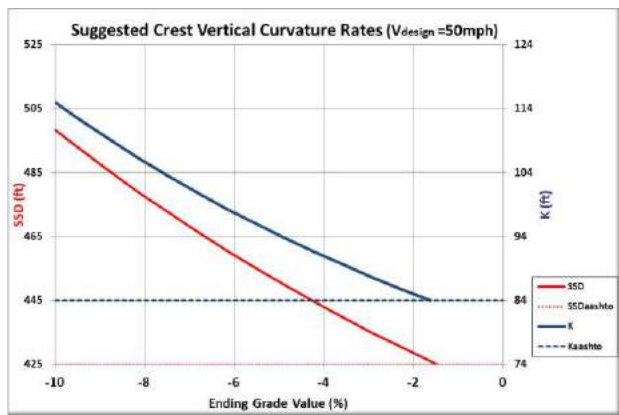
(b) $V_{design}=40\text{mph}$

FIGURE 4 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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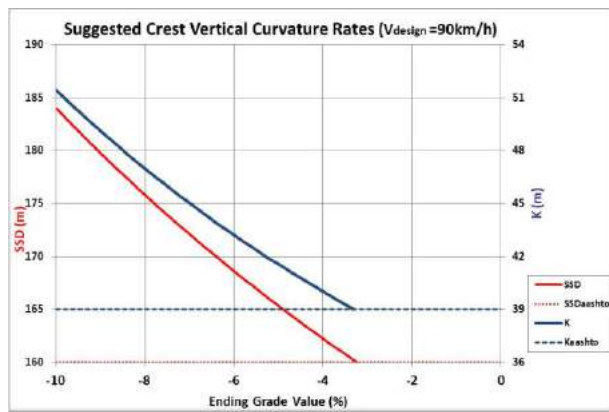
(a) $V_{design}=80\text{km/h}$



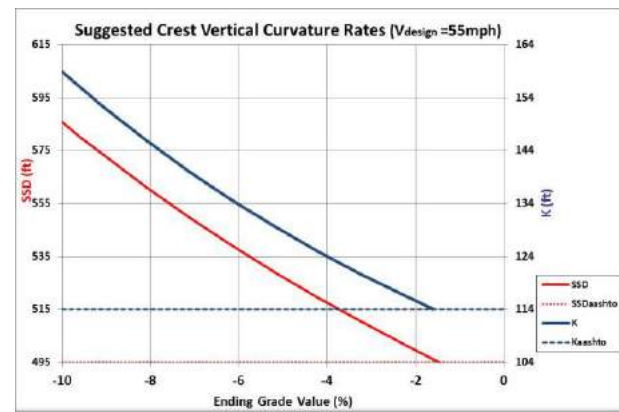
(b) $V_{design}=50\text{mph}$

FIGURE 5 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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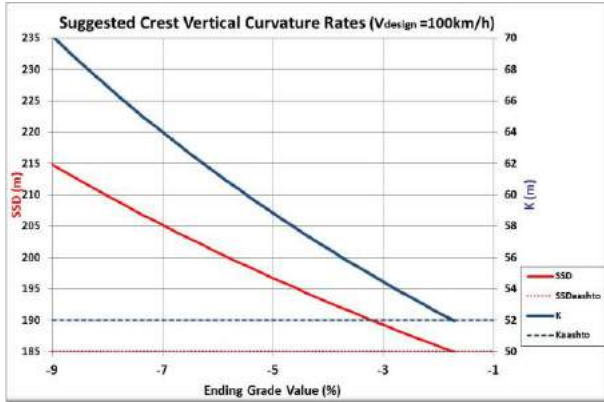
(a) $V_{design}=90\text{km/h}$



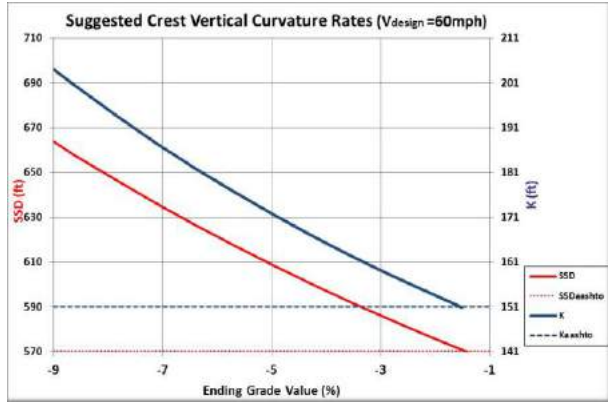
(b) $V_{design}=55\text{mph}$

FIGURE 6 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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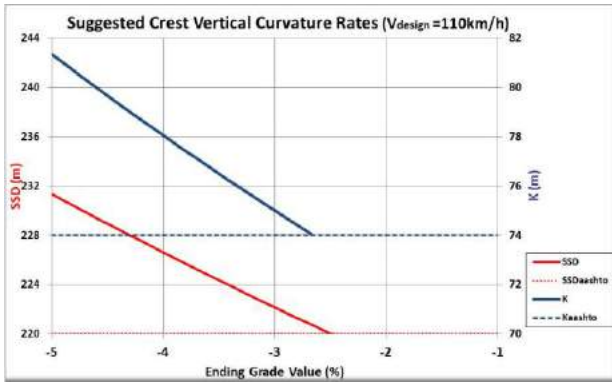
(a) $V_{design}=100\text{km/h}$



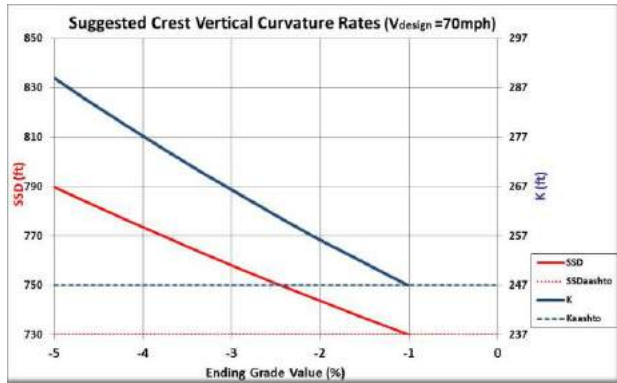
(b) $V_{design}=60\text{mph}$

FIGURE 7 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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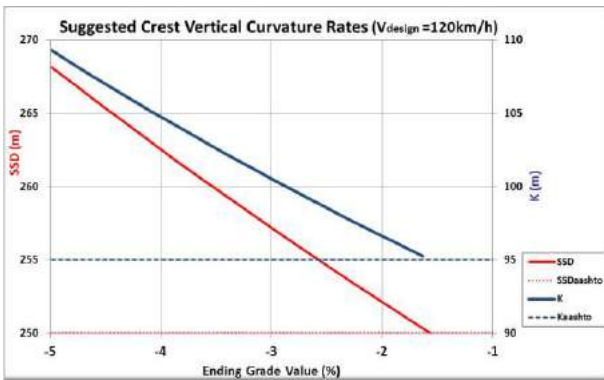
(a) $V_{design}=110\text{km/h}$



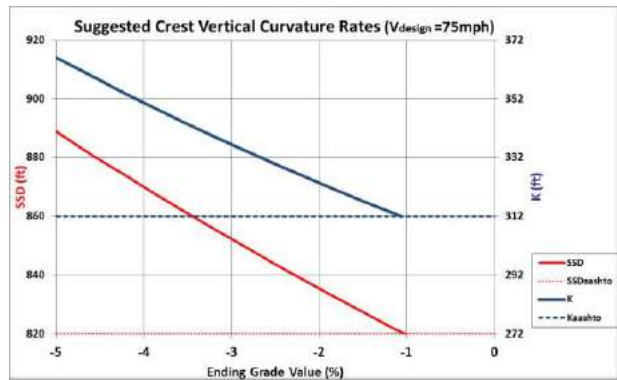
(b) $V_{design}=70\text{mph}$

FIGURE 8 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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(a) $V_{design}=120\text{km/h}$



(b) $V_{design}=75\text{mph}$

FIGURE 9 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

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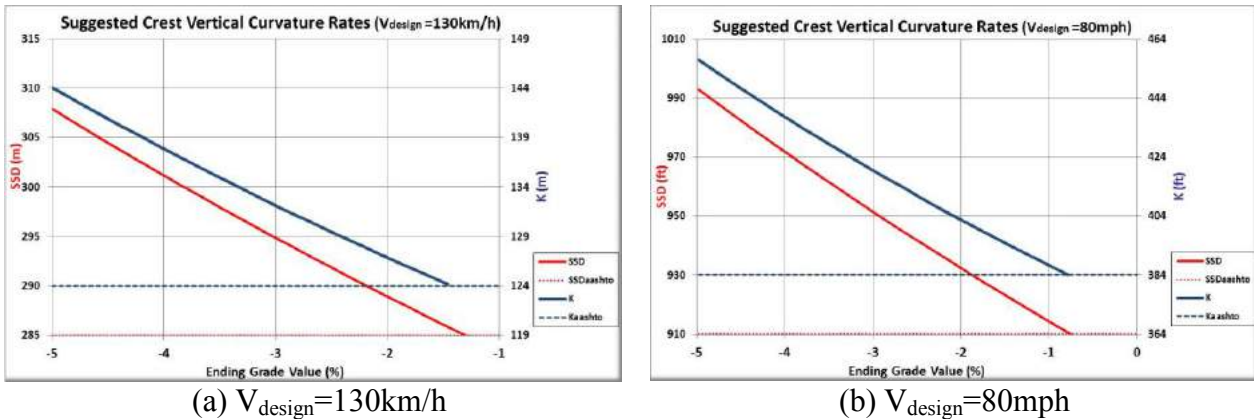
(a) $V_{\text{design}}=130\text{km/h}$ (b) $V_{\text{design}}=80\text{mph}$

FIGURE 10 Suggested Crest Vertical Curvature Rate Values Based on SSD Adequacy.

The ending grades utilized in Figures 2 through 10, were drawn based on grade control criteria as found in AASHTO's road functional classification. For example in Figure 3, the selection of grade values up to 14% for 50km/h (80mph) design speed refers to mountainous local rural roads as recommended in the Green Book.

Figures 2-10 show that there is a horizontal variation between the SSDs' intersection point (red line intersection) and the relevant K values intersection point (blue line intersection). Theoretically these two intersection points should coincide horizontally, since both refer to the same ending grade value. However, this is not the case, since, the crest vertical curvature rates calculation formula based on Equations 2 and 4, deliver values which are rounded for design. For example assuming two extreme cases:

- 15• $V_{\text{design}}=50\text{km/h}$, the K value is calculated 6.4m but introduced as 7.0m in (1)
- 16• $V_{\text{design}}=90\text{km/h}$, the K value is calculated 38.9m but introduced as 39.0m in (1)

In the first case, the adopted K value for design is increased by 9.4%, where in the second only 0.3%. This explains the horizontal variation between the above stated intersection points.

CORRELATION BETWEEN SUGGESTED CREST VERTICAL CURVATURE RATES VALUES AND ROAD FUNCTIONAL CLASSIFICATION

The above analysis revealed a SSD inadequacy on the downgrade area of steep grades when control crest vertical curvature rates based on AASHTO design guidelines are utilized. On the other hand, as each road class is associated to a particular grade range, its impact in the above process is ignored.

The correlation between AASHTO's road functional classification and the amended crest vertical curvature rates as calculated here is shown in Table 2. It should be noted that this is illustrated for a single design speed of 80km/h (50mph) for all possible functional classification categories.

Table 2, illustrates an example for ready-to-use values of crest vertical curvature rates, based on the desired exiting grade value of the design. The illustrated crest curvature rates refer to negative exit grade values, extracted below a certain breakpoint where SSD inadequacy commences as shown in Figure 5, and can be applied in cases where the length of the vertical curve exceeds the required SSD values as shown.

1 The gaps in the suggested crest vertical curvature rates indicate that the maximum
 2 grade value of the certain road class is lower.
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5 **TABLE 2 Suggested Crest Vertical Curvature Rate Values Based on Roadway's**
 6 **Functional Classification for $V_{\text{design}}=80\text{km/h}$ ($V_{\text{design}}=50\text{mph}$)**

7 Note: L refers to length of vertical curve; values are shown in both **Metric (m)** and **US Customary Units (ft)**

	Type of Terrain	AASHTO	Exit Grade Value (%)								
			-3	-4	-5	-6	-7	-8	-9	-10	
Local Rural	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)	-	-	33m (L>147m) 111ft (L>488ft)	35m (L>151m) 115ft (L>498ft)	
	Rolling						31m (L>142m) 102ft (L>468ft)	32m (L>144m) 106ft (L>478ft)			
	Mountainous										
Rural Collectors	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)	-	-	33m (L>147m) 111ft (L>488ft)	-	
	Rolling						31m (L>142m) 102ft (L>468ft)	32m (L>144m) 106ft (L>478ft)			
	Mountainous										
Rural Arterials	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	-	-	-	-	-	-	
	Rolling				29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)	31m (L>142m) 102ft (L>468ft)				
	Mountainous										
Urban Collectors	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)	31m (L>142m) 102ft (L>468ft)	-	-	33m (L>147m) 111ft (L>488ft)	35m (L>151m) 115ft (L>498ft)
	Rolling							32m (L>144m) 106ft (L>478ft)			
	Mountainous										
Urban Arterials	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)	31m (L>142m) 102ft (L>468ft)	-	-	33m (L>147m) 111ft (L>488ft)	-
	Rolling							32m (L>144m) 106ft (L>478ft)	33m (L>147m) 111ft (L>488ft)		
	Mountainous										
Freeways	Level	26m 84ft	27m (L>132m) 88ft (L>436ft)	28m (L>134m) 91ft (L>443ft)	-	-	-	-	-	-	
	Rolling				29m (L>136m) 95ft (L>451ft)	30m (L>139m) 98ft (L>459ft)					
	Mountainous										

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1 CONCLUSIONS

2 The paper investigates the consequence of the Green Book guidelines, to adopt minimum
3 crest vertical curvature rates based on leveled grade values.

4 Initially, the authors addressed the SSD calculation on variable grades during the
5 braking process through an earlier approach based on the point mass model and the laws of
6 mechanics. This process resulted in determining that the negative grade area of crest
7 vertical curves, as expected, increases the calculated SSD values.

8 Subsequently, for a wide range of design speed values, charts of the required SSDs
9 were drawn as a function of various ending grade values, based on control crest vertical
10 curve rates, as adopted by AASHTO. Since various SSD shortage areas appeared in the
11 charts, the authors provided amended crest vertical curvature rates as well, in order to grant
12 SSD adequacy throughout the braking process. The resultant crest vertical rates apply for
13 cases where the length of the vertical curve exceeds the calculated SSD values.

14 An immediate implementation of the present approach is to provide the designers
15 with ready-to-use revised crest vertical curvature rates, based on the desired exiting grade
16 value of the design in accordance to roadway's functional classification as adopted by
17 AASHTO.

18 However further analysis is required in order to include the effect of combined
19 horizontal – vertical alignment, certain arrangements of which might impose additional
20 restrictions.

21 Moreover, additional qualitative research seems necessary to evaluate parameters
22 of SSD (braking on curves, ABS braking, friction coefficient etc.) as well, in order to
23 reflect current vehicle dynamics trends and thus simulate the braking procedure more
24 realistically. One should not ignore the fact that the human factor might impose additional
25 restrictions and consequently influence the braking process to some extent beyond the
26 perception-reaction procedure and friction reserve utilized in the braking process.

27 Finally, it is also necessary to underline the fact that the parameters used in the
28 present paper (speed values, perception reaction time etc.) refer to daylight driving
29 conditions, as the vehicle speed values in night time driving conditions are
30 6km/h – 15km/h less (8) on one hand and on the other the road view geometry changes.

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