



European Code of Conduct of Open Science in Transport – developed by BE OPEN project

"BE OPEN meets OSCAR" - Open Science Collaboration: Joint event | 16th June

Katerina Folla, George Yannis, National Technical University of Athens Christian von Buehler, Osborne Clarke



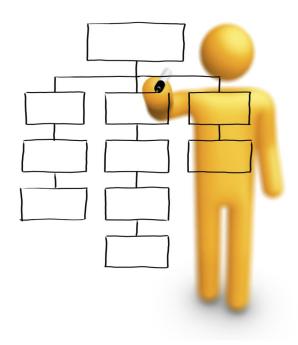
Objective

- To provide a code of conduct on open science in transport, that will **engage transport stakeholders** and especially **researchers** with the **fundamental principles of research integrity**.
- The code of conduct was **built on additional findings** from the previous tasks of the project:
 - > legal issues
 - properties and specifications of open science
 - > new business models implementing open access in transport research.

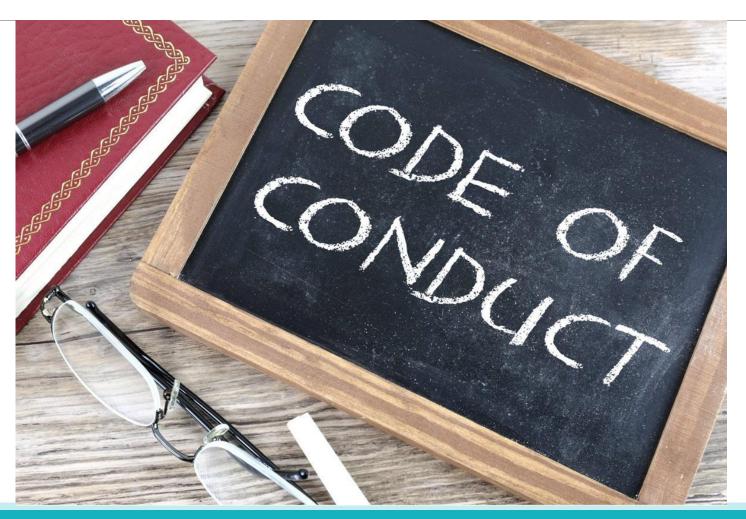


Presentation Outline

- 1. What is a "Code of Conduct"?
- 2. Status Quo: Existing Codes Of Conducts in Open Science Environment
- 3. What's in it? The Contents in Brief
- 4. How to adhere to the Code of Conduct
- 5. OSCAR and BE OPEN CoC in Comparison



What is a "Code of Conduct"?



Definition of a "Code of Conduct"

"A code of conduct is a codification, outlining behaviors, norms, rules, responsibilities or proper practices that can or should be applied in order to reach and respect morally relevant values. As such, moral relevance means the totality of the normative rules, customs and practices of an organisation, group and/or society an individual belongs to."

Characteristics of a "Code of Conduct"

Voluntary Self-Regulation

Substantiates Normative Rules Good Professional Practices

Behaviour

Morals & Values

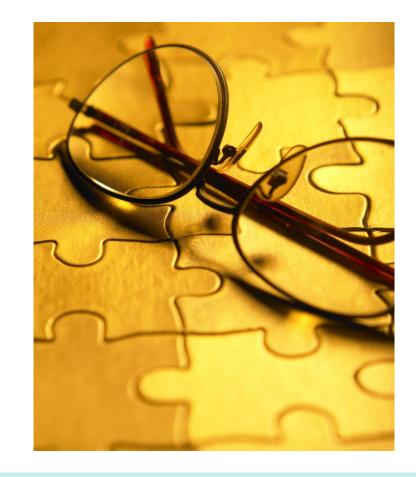
Codification

Responsibilities

Commitment

Status Quo

EXISTING CODES OF CONDUCTS
IN THE OPEN SCIENCE ENVIRONMENT



ALLEA

Principles

- **≻**Reliability
- **≻**Honesty
- **≻**Respect
- ➤ Accountability

Good Research Practices

- > Research Environment
- ➤ Training, Supervision and Mentoring
- > Research Procedures
- ➤ Safeguards
- ➤ Data Practices and Management
- ➤ Collaborative Working
- ➤ Publication and Dissemination
- ➤ Reviewing, Evaluating and Editings



Oscar (H2020)

Principle 1:

OPENNESS AND OPEN COMMUNICATION

Principle 2:

TRANSPARENCY

Principle 3:

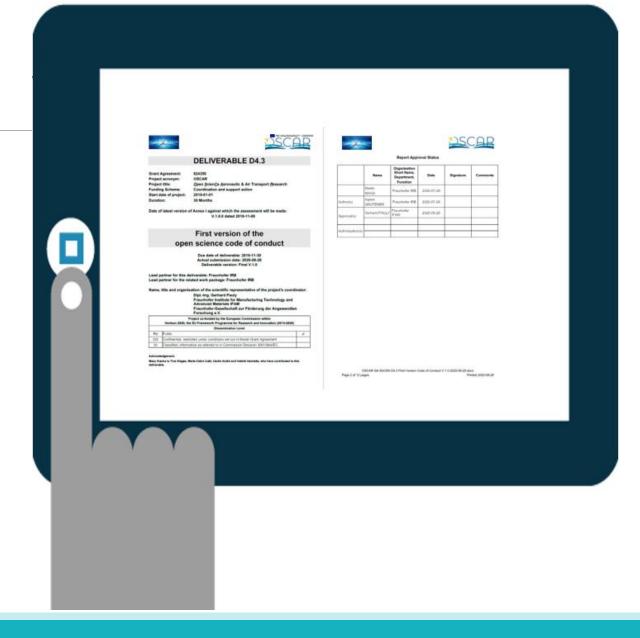
REUSABILITY AND INCLUSIVENESS

Principle 4:

REPRODUCIBILITY AND ROBUSTNESS

Principle 5:

FAIRNESS AND RESPONSIBILITY

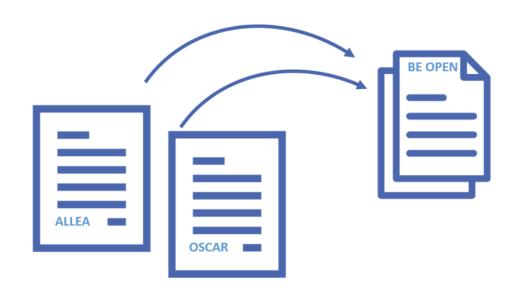


The European Code of Conduct on Open Science

WHAT'S IN IT?
THE CONTENTS IN BRIEF



Interaction between ALLEA, OSCAR & BE OPEN Code of Conduct





Content of the BE OPEN Code of Conduct

Chapter 1:

Fundamental Research Principles (Article 1-9)

Chapter 2:

Legal Compliance Principles (Article 10 - 15)

Chapter 3:

Final Provisions (Article 16 – 17)





D4.9: Foregour Code of Condu

European Code of Conduct on Open Science in Transport

Preamb

The "Torquest Claim of Combut or Cypes Names in Transport" and the principles of their in Artificial address of Electrical address o

- using energing technologies, such as solf or As, to increase efficiency and efficacy of multitle againment.
- developing software offering contract of interest and applicability to the maniporter and/or.
- gettering, managing works assigning data their matternation, such as section, used in websity applications and/or application; or
- using interdistiplinary data to create new solutions and applications in the transportationals.

Thus, in conclusion, Open Science in transport activities online for public acress, secure reprograment, extrictly decempanent, secure reprograment and experience and equivariation of measurist autocomes, using technologies, increaments and

The adhermon to the principles formulated in the Notices of the Lond of Contests does one remains the Tradiabilities in from the obligation to adhere to the acciding found or lagar covers or applicable laves, or particular, the relations less of the EU and the EU Mandards Torico remain unbounded.

If an individual or organization discount to advers to the principes set furth in this code of conduct, it oversion the care responsibility of each part, or refrain from southing are existing more or regal name or raws. Each red videous inswerbodier beam full and care responsibility for har/fruits axes actions independent of this code of conduct.

of State Annies, with to address to the prognosis "Georgean Cade of Conduct on Open Science of Transport", they shall incomprise a reference on their official documentation (a.g. depoting the project controllers agreement or mutual research compression). The reference may have the first following

"We havely declare that we commit outselves to the "European Code of Conduct on Open Science of Transport" one its addressing principles".

216+1

Content of the Code of Conduct

Chapter 1: Fundamental Research Principles

- ALLEA Good Research Practices applied to Open Science in Transport specifics
- Added Provision on Diversity, Inclusion and Equality (Art 9)

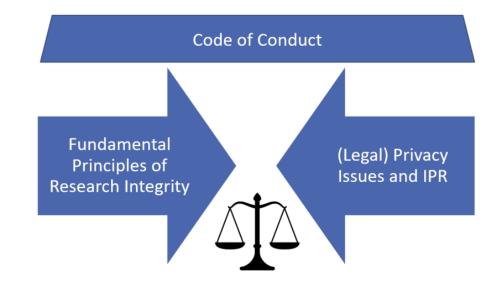
Chapter 2: Legal Compliance Principles

- Intellectual Property Rights
- Principles of Data Security
- Organisational Measures for Data Privacy Compliance
- Complying with EU Data Privacy Principles and Obligations
- Processing under Privilege for Scientific Research
- Awareness of- and complying with e-Privacy Laws

Content of the Code of Conduct

Interaction between Fundamental Research Principles and Legal Principles (Art 16)

- > Stakeholders are guided to avoid and prevent any Conflict between both aspects.
- Stakeholders shall appropriately balance any Inevitable Conflicts in such a way that all Principles can develop as far as possible.



Content - Miscellaneous

Stakeholders

- Researchers and Students,
- Research Institutions,
- Organisations and Universities,
- Policy makers (on regional, national and international level),
- Transport Networks,
- NGOs and Community Organisations,
- Commercial Transport and Logistics Industry Players, and
- Citizens.

Living Document

- > The Code of Conduct is a living document.
- ➤ It shall be regularly reviewed and updated accordingly.
- ➤ It will be updated every 3 5 years.
- ➤ An Expert Working Group will be formed by the BE OPEN Steering Committee members.

Sanctions

- The Code of Conduct contains **no Sanctions**.
- Organisations may impose their own Sanctions for breaches of the Code of Conduct.

How to adhere to the Code of Conduct

Incorporate Code of Conduct with a simple reference, e.g.:

"We hereby declare that we commit ourselves to the 'European Code of Conduct on Open Science in Transport' and its underlying principles".

OSCAR and BE OPEN CoC in Comparison

OSCAR Code of Conduct

- ➤ Holds (only) Principles of Open Science.
- Binding Laws and Adherence to the CoC are completely separate.
- Enforcement of Misconduct is explicitly mentioned.

BE OPEN Code of Conduct

- ➤ Holds Fundamental Research Principles and Legal Compliance Principles.
- CoC substantiates EU Laws and contains Obligations going beyond.
- There is no Enforcement foreseen in case of Misconduct.

Main corresponding aspects

- Open Sciene Principles overlap with general Principles of Good Scientific Practice
 - ➤ Not limited to a specific Mode of Transportation
 - CoC can be adopted by simple Reference





Thank you for your attention!

