THE VALUE OF MINI MENTAL STATE EXAMINATION (MMSE) AND MONTREAL COGNITIVE ASSESSMENT (MoCA) IN THE PREDICTION OF FITNESS TO DRIVE IN PATIENTS WITH MILD COGNITIVE IMPAIRMENT (MCI) AND MILD ALZHEIMER'S DISEASE (AD)

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1 ABSTRACT

2 Montreal Cognitive Assessment (MoCA) and Mini Mental Examination (MMSE) are commonly 3 used cognitive screening instruments. Although MMSE has been previously associated with driving fitness, MoCA has not been widely explored in that perspective. The aim of the study was 4 5 to explore whether significant correlations would be present between the aforementioned tests and specific driving indexes in patients with amnestic Mild Cognitive Impairment (aMCI), mild 6 7 Alzheimer's disease (mAD) and healthy individuals. Forty-four aMCI patients (69.1±8.9 years), 23 mAD patients (73.7±6.8 years), and 30 healthy individuals (65.9±5.7 years) were assessed in 8 9 rural and urban areas through the use of a driving simulator. Both tests were significantly associated with accident probability and reaction time in both driving conditions in aMCI patients, 10 while MoCA was also significantly correlated with speed limit violations in the rural area. In mAD 11 patients, both tests indicated a significant correlation with headway distance in the rural area and 12 accident probability in the urban area. MoCA also showed a significant correlation with average 13 speed in rural area. No association with any of the driving indexes was reported for the healthy 14 individuals. Both measures of general cognitive functioning, with a relative advantage of the 15 MoCA, appear to be associated with crucial indexes related to driving fitness in patients with aMCI 16 and mild AD. Nonetheless, it is recommended that these measures should not be used 17 18 independently but instead as elements of a broader evaluation when taking decisions related to 19 driving fitness in drivers belonging to the specific clinical groups. 20 21 22 Keywords: Montreal Cognitive Assessment, Mini Mental State Examination, driving ability,

- driving simulator, Mild Cognitive Impairment, Alzheimer's Disease
- 24

1 INTRODUCTION

Driving is a highly complex task that requires a combination of physical and mental skills (1). According to previous studies, decline in cognitive functions due to neurodegenerative diseases influences in a critical way the ability to operate a vehicle safely (2). Alzheimer's disease (AD), is the most frequent neurodegenerative disease causing dementia. Mild Cognitive Impairment (MCI), is an intermediate state between dementia and normal cognition in the elderly (3) that most commonly progresses to AD.

8 Numerous studies have investigated the driving performance of patients with AD using on-road evaluations and simulator driving experiments, revealing a significant impairment on 9 various driving indexes, such as accident probability, reaction time, maintaining proper speed and 10 taking left turns (2, 4, 5, 6, 7, 8). However, patients at the earlier stages of the disease, may maintain 11 their driving fitness (4, 9, 10, 11, 12). Apart from driving experience, several factors assist in 12 differentiating between safe drivers and those at risk: sex, disease severity and duration, self and 13 family assessment, performance in on road evaluations and driving simulators, as well as 14 15 neuropsychological measures (4).

Although previous research has focused on driving ability of patients with dementia, 16 fewer studies have assessed driving fitness of patients with MCI (2, 13, 14, 15). To date, no 17 consensus has been reached by studies assessing the driving safety of MCI patients both in driving 18 19 simulator experiments and in on road tests. Although some studies report impaired driving ability 20 of patients with MCI in comparison to cognitively intact individuals (16, 17, 18), a respected number of studies, does not indicate statistically significant differences, suggesting that overall 21 driving performance of MCI patients is within the normal range. However, most researchers agree 22 that although MCI patients do not drive consistently worse than the healthy elderly, they tend to 23 24 make more driving errors and present trends towards impaired driving performance in comparison to cognitively intact elderly drivers. In most studies, those trends fail to reach statistical 25 significance but nonetheless raise concerns regarding the potential driving safety of individuals 26 27 with mild cognitive impairments (7, 15, 17, 19).

Given the fact that driving requires multiple cognitive skills, namely attention, speed of processing and visuospatial perception, clinicians should consider multiple factors when evaluating driving competency of patients. Diagnosis of dementia per se should not be the reason of withdrawing a patient's driving privileges. A useful guideline for clinicians, in order to make accurate and valid recommendations, is the utilization of a personalized approach taking into account the individual's driving performance along with the level of cognitive and functional impairment (4, 20, 21).

In line with a personalized approach concept, neuropsychological assessment is a potentially reliable and cost-effective means for identifying at risk drivers. Despite the inconsistency of findings from past studies that have examined the correlation between cognitive domains and driving ability, there is strong evidence that cognitive performance is highly associated with driving fitness (5, 18). Thus, a combination of neuropsychological, and neurological measures along with a detailed evaluation of driving performance through on road or driving simulator assessments, should be used to identify older drivers at risk (22).

One of the most frequently administered neuropsychological instruments for screening dementia is the Mini Mental State Examination (MMSE) (23). Multiple studies have examined the utility of MMSE as a predictor of driving ability among individuals with dementia, but have revealed contradictory results. Some researchers have indicated a significant association of MMSE performance and driving ability (19, 20, 23, 24), while others did not identify any associations between those measures (25, 26, 27, 28, 29, 30). A significant distinction, however, should be made

regarding the nature of the samples that were utilized by studies that have found significant 1 2 correlations with the MMSE in comparison to the studies that do not identify such correlations. The majority of the samples examined in the studies that detect significant associations included 3 individuals with cognitive disorders (e.g. patients with AD), while the majority of the samples in 4 5 the studies which do not verify those results included participants from the general population. Thus, it appears that the MMSE is associated at a greater extent with various indexes of driving 6 7 fitness when applied in clinical populations because of the greater variability that exists on the test 8 performance patterns as compared to the case of cognitively healthy individuals that commonly achieve maximum scores on the specific instrument. 9

10 Another widely used cognitive screening tool is the Montreal Cognitive Assessment 11 (MoCA) (31). MoCA is a highly sensitive tool for the identification of milder forms of cognitive 12 impairment, has excellent test-retest reliability (31, 32, 33, 34, 35) and is less prone to ceiling 13 effects than MMSE (36, 37). According to Esser et at. (38), MoCA could be utilized by clinicians 14 as a useful screening tool for identifying whether a driving assessment is necessary for individuals 15 self-reporting concerns about their driving ability.

To our knowledge, only one study has directly compared the MMSE and the MoCA 16 regarding their capacity to predict unsafe driving behavior. Hollis et al. (25) compared MMSE and 17 MoCA in predicting driving risk in individuals with and without cognitive impairment by using a 18 19 standardized on-road evaluation. According to this study, in the case of cognitively healthy 20 individuals none of the two aforementioned screening tests could serve as an effective indicator of 21 driving performance. On the other hand, regarding participants who were classified under the cognitively impaired spectrum, the results showed that both tests could serve as significant 22 predictors of unsafe driving behavior, indicating however an advantage of the MoCA test which 23 24 yielded stronger associations with driving performance than the MMSE. However, it should be noted, that the clinical sample of this study was heterogeneous and the classification regarding the 25 presence or absence of cognitive impairment was based on the reason of referral without applying 26 27 a detailed neuropsychological evaluation as indicated by the most recent diagnostic criteria.

The aim of the present study was to investigate the strength of the association of two 28 29 routine cognitive screening tools, namely MMSE and MoCA, with fitness to drive related-30 measures in individuals diagnosed with MCI and mild AD according to well-established diagnostic criteria (3, 39). This factor renders originality to the current work, because previous relevant 31 research comparing MMSE and MoCA (25) has assessed the role of cognitive impairment in a 32 33 more general way without using strict criteria and detailed neuropsychological testing. Based on accumulated findings of previous research works, significant associations were expected to be 34 observed between these two cognitive measures and various driving indexes. Additionally, given 35 the important role of executive functioning on driving behavior (40), we hypothesized that the 36 MoCA test, due to its greater executive load as compared to the MMSE (41), would be more 37 effective for identifying associations with the fitness to drive related-measures that were applied 38 39 in the current study.

40

41 **METHODOLOGY**

42

43 Paticipants

The current study included 44 patients with amnestic MCI (aMCI; mean age=69.1, SD=8.9), 23 patients with mild AD (mean age= 73.7, SD=6.8) and 30 cognitively intact individuals (mean age=65.9, SD=5.7). All participants underwent a comprehensive neurological and neuropsychological assessment. Diagnosis of MCI was based on the Petersen and Morris criteria

(2005) along with a score of ≤ 0.5 in the Clinical Dementia Rating Scale (CDR) (42). Additionally, 1 2 by applying the same criteria (3), all MCI patients were classified as of the amnestic subtype. 3 Diagnosis of mild AD was based on the McKhann et al. criteria (39) along with a score≤1 in the CDR. In order to participate in the study, additional strict criteria were required: a) a valid driving 4 5 license, b) participants should be active drivers: driving at least once a week, driving at least 6 10km/week and count more than 2500km of driving, all three conditions within the last year, c) 7 participants should be experienced drivers: more than three years licensed driving experience, d) 8 no history of psychosis e) no significant motor or visual disorders f) no complaints of dizziness or 9 nausea while in a moving vehicle, neither as a driver nor as a passenger, g) no record of traffic accidents, h) no evidence for alcohol or drug addiction. The clinical groups consisted of 10 consecutive visitors of the Cognitive Disorders/Dementia Unit of the 2nd Department of 11 Neurology at NKUA "Attikon" University General Hospital in Athens. The control group included 12 family members or informants that visited our Unit during the data collection period of the study. 13 The participation to the study was voluntary and informed consent was obtained from all the 14 individuals that were included in the sample. Participants were informed about the nature and the 15 phases of the study. They were ensured that their background information would remain 16 confidential and would be used only for research purposes, and that they had the right to cease the 17 procedure at any time. The current study was approved by the ethical committee of "Attikon" 18 19 University General Hospital.

20

21 **Procedure**

The collection of data was divided in two Phases. During Phase-A, all the participants underwent a thorough neurological, neuropsychological and ophthalmological assessment. MMSE and MoCA were administered as a measures of the neuropsychological assessment. Both tests are brief, well accepted cognitive screening instruments that are used to evaluate the general cognitive ability.

- MMSE contains 30 items (maximum score: 30 points). Five cognitive functions are briefly assessed: a) orientation (time and space: 5 points each), b) memory (learning and delayed recall: points each), c) attention (5 points), d) language (8 points), and e) constructional skills (1 point). MoCA is also a 30 points neuropsychological tool. Five specific cognitive domains are evaluated:
- a) attention/executive function: Trail Making Test (1 point), digit span (2 points), target detection
 (1 point), verbal fluency (1 point), abstraction (2 points) and serial seven subtraction (3 points), b)
 visuospatial ability: Clock Drawing (3 points) and copying a cube (1 point), c) language: object
 naming (3 points) and sentence repetition (2 points), d) memory: delayed recall of 5 previously
 presented words (5 points) and e) orientation: orientation questions (6 points).
- In Phase-B, the driving simulator experiment was conducted. First, there was a 5-10 minutes practice session aiming to familiarize the participants with the simulation environment. Then, two driving sessions followed, with an overall duration of approximately 20 minutes. Each session represented a distinct driving surrounding, one taking place at an urban environment and the other taking place at a rural environment. The urban driving condition comprised of a total driving distance of 1.7 km, a dual carriageway at its bigger part, separated by guardrails, with 3.5m lane width and a speed limit of 60 km/h.

The rural driving condition consisted of a 2.1km driving route, two lanes with 3m width, no gradient inclination and mild horizontal carves and a speed limit of 70km/h. In both sessions traffic volume was moderate (average volume Q=300 vehicles per hour) and two unexpected incidents occurred on the roadway: in the urban environment there was a sudden appearance of a child chasing a ball or a vehicle leaving a parking position, while in the rural environment the unexpected appearance of an animal (donkey or deer). However, these incidents were scheduled at fixed points along the way. Phase-B, took place at the Department of Transportation Planning
and Engineering of the National Technical University of Athens. The driving simulator was a
Foerst FPF, validated against a real world environment (43).

- The indexes assessed for the current study from the driving simulator experiment were: a) the average speed in kilometers per hour, b) the lateral position of the vehicle (distance from the central road axis in meters), c) the headway distance with the preceding vehicle (mean headway in meters), d) the reaction time at the unexpected incidents (in milliseconds), e) the number of
- 8 speed limit violations, and e) the accident probability.

9

10 **RESULTS**

11 The descriptive characteristics of the participants are presented in the Table 1. A One-way Analysis 12 of Variance (ANOVA) was conducted in order to investigate possible differences between mild 13 AD patients, aMCI patients and cognitively intact individuals in terms of age, years of education,

14 performance on MMSE and MoCA as well as scores on the Geriatric Depression Scale (GDS) and

- 15 the Functional Assessment Questionnaire (FAQ) (Table 1).
- 16

17 TABLE 1 Comparisons between aMCI and AD patients in demographic characteristics,

18 MMSE, MoCA, GDS and FAQ

	HC		MCI		AD		ANOVA		Post hoc	comparisons
	(N=30)		(N=44)		(N=23)				with	Bonferroni
	Mean	SD	Mean	SD	Mean	SD	F	Р	correction	
Age	65.9	5.7	69.1	8.8	73.1	6.3	5.97	.004	AD>HC**	
Education	15.1	3.3	13.2	3.9	10.8	4.3	6.65	.002	AD <hc*< td=""><td></td></hc*<>	
MMSE	29.3	.80	27.6	2.0	22.3	4.5	49.2	.000	AD <hc**,< td=""><td>AD<mci**,< td=""></mci**,<></td></hc**,<>	AD <mci**,< td=""></mci**,<>
									MCI <hc*< td=""><td></td></hc*<>	
MoCA	26.2	1.8	22.4	3.3	15.7	5.3	56.8	.000	AD <hc**,< td=""><td>AD<mci**,< td=""></mci**,<></td></hc**,<>	AD <mci**,< td=""></mci**,<>
									MCI <hc**< td=""><td>:</td></hc**<>	:
GDS	2.1	2.5	2.3	2.0	2.7	1.8	.52	.597		
FAQ	.09	.30	.97	1.5	5.1	4.1	21.7	.000	AD <hc**,< td=""><td>AD<mci**< td=""></mci**<></td></hc**,<>	AD <mci**< td=""></mci**<>

19 **p*=.05, ***p*<.001

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Significant differences were observed between the control group and the mild AD group in the 21 22 variables of age and educational level. Regarding the MMSE scores, significant differences were found between the three groups, where the group of patients with AD appeared to perform worse 23 from all the other groups examined, while the aMCI patients had also a lower performance in 24 25 comparison to cognitively intact individuals. Regarding performance in the MoCA test, significant differences were also found between the three groups, where mild AD patients had the worst 26 27 performance, followed by the patients with aMCI and lastly by the cognitively intact individuals. 28 Considering the FAQ, significant differences were observed between mild AD patients with both MCI patients and healthy elderly. More specifically, mild AD patients demonstrated significantly 29

lower scores in the specific scale reporting functionality impairments. In GDS, non-significant
 differences were observed between the three groups.

Pearson r correlations were carried out in order to assess the association between the MMSE and MoCA performance with driving indexes for each group. The results for the cognitively intact individuals are presented in Table 2, whereas the correlations of the aMCI and the mild AD patients are presented in Tables 3 & 4 respectively.

7

8 TABLE 2 Correlations between MMSE, MoCA and Driving Indexes in cognitively intact

9 individuals

Driving Indexes		Rura		Urban Area				
	MMSE		MoCA		MMSE		MoCA	
	r	<i>p-value</i>	r	p-value	r	p-value	r	p-value
Average speed	.03	.86	11	.61	11	.63	17	.48
Lateral position	06	.77	20	.33	.02	.94	32	.18
Headway distance	02	.93	05	.79	.18	.45	.38	.10
Reaction time	04	.83	.09	.66	05	.86	.01	.97
Accident probability	29	.14	32	.12	.18	.45	15	.59
Speed limit violations	30	.13	20	.33	-	-	-	-

11

12 TABLE 3 Correlations between MMSE, MoCA and Driving Indexes in aMCI patients

Driving Indexes		Rura	al Area		Urban Area			
	MMSE		MoCA		MMSE		MoCA	
	r	p-value	r	p-value	r	p-value	r	p-value
Average speed	.01	.94	05	.77	01	.96	.09	.61
Lateral position	10	.55	33	.055	.22	.22	.18	.32
Headway distance	.02	.91	.04	.83	16	.38	.01	.94
Reaction time	33	.045*	42	.01*	78	.000**	47	.02*
Accident probability	34	.041*	046	.006*	56	.003*	47	.02*
Speed limit violations	23	.18	39	.02*	.20	.27	.34	.059

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$$p=.05$$
. ** $p<.001$

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Driving Indexes	Rural Area				Urban Area			
	MMSE		MoCA		MMSE		MoCA	
	r	p-value	r	p-value	r	p-value	r	p-value
Average speed	.41	.09	.48	.044*	.29	.26	.34	.21
Lateral position	.22	.37	.06	.79	.21	.43	04	.88
Headway distance	53	.024*	57	.014*	28	.29	26	.32
Reaction time	.07	.77	09	.69	16	.59	13	.68
Accident probability	.21	.39	.13	.61	54	.03*	53	.037*
Speed limit violations ^a	-	-	-	-	-	-	-	-

1 TABLE 4 Correlations between MMSE, MoCA and Driving Indexes in mild AD patients

2 **p=.05.* ***p*<.001

4 No significant correlations were found between the MMSE, MoCA and the driving indexes in the 5 group of cognitively intact individuals (Table 2). As regards aMCI patients, MMSE scores were 6 significantly correlated with reaction time and the accident probability in both rural and urban 7 environments, while non-significant correlations were observed in the remaining driving indices 8 in neither rural nor urban areas (Table 3).

9 In the same group, performance in MoCA was also significantly correlated with reaction 10 time and accident probability in both urban and rural areas (Table 3). Moreover, MoCA was 11 significantly correlated with speed limit violations in the rural environment. No other significant 12 correlations were observed between the MoCA performance and the rest of the driving indexes 13 namely average speed, lateral position, headway distance in rural and urban areas as well as speed 14 limit violations in the urban area.

Regarding the group of mild AD patients, MMSE performance significantly correlated with headway distance in the rural environment and with accident probability in the urban environment (Table 4). In addition, MoCA performance was significantly correlated with headway distance and average speed in the rural environment as well as with accident probability in the urban environment (Table 4). Non-significant correlations were observed between MMSE, MoCA and the rest of the driving indexes in the group of mild AD patients. No speed limit violations were observed in both rural and urban areas in the group of patients with mild AD.

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23 DISCUSSION

The objective of the present study was to investigate in patients with aMCI and mild AD the magnitude of the associations that exist between two popular measures of general cognitive state, namely MMSE and MoCA, with a variety of indexes related to driving fitness that were obtained

27 from a driving simulation experiment. In addition, a second goal of the current work was to explore

28 whether the MoCA test would present stronger overall associations with driving performance than

29 the most widely used MMSE. According to our knowledge, this study was the first that compared

30 the magnitude of the associations of the MoCA and the MMSE with various driving indexes in a

31 group of drivers with a clinical diagnosis of aMCI and mild AD that was based on well-established

³

criteria (3, 39). In the only previous work that investigated MoCA in comparison to MMSE regarding their associations with driving indexes in patients with cognitive impairment (25), the sample was heterogeneous belonging to various neurological disorders and did not comprise a homogeneous clinical sample as in the case of the current study. In addition, the diagnosis of cognitive impairment was mainly based on the reason of referral without applying a detailed neuropsychological battery.

7 The driving evaluation for collecting information from distinct driving environments 8 included both a rural and an urban scenario for the assessment of the following driving indexes: 9 average speed, lateral position of the vehicle, head way distance, reaction time, accident probability and speed limit violations. According to our findings, both MMSE and MoCA were 10 associated with crucial driving indexes that have an integral link with overall driving fitness. This 11 pattern of findings is in line with previous research supporting the capacity of both MMSE (19, 20, 12 22, 24, 27, 44) and MoCA (25, 38) to provide meaningful information regarding the driving 13 14 performance of clinical samples.

In particular for patients with aMCI, both neuropsychological instruments were associated 15 16 with reaction time to unexpected incidents that occurred in both the rural and the urban driving scenario. In addition, performance on the MMSE and MoCA was significantly related with 17 accident probability in both driving environments. Reaction time and accident probability are 18 19 critical indexes regarding the safe operation of the vehicle and, therefore, the aforementioned associations could facilitate the effort for identifying those patients with problematic or 20 questionable driving skills. Notably, the specific aforementioned associations regarding MMSE 21 for patients with MCI have not been observed in previous studies, according to our knowledge. 22 This was, also, the first study that observed in patients with aMCI the specific associations 23 24 regarding the case of MoCA. In addition, in the group of drivers with aMCI the MoCA scores were significantly associated with speed limit violations in the rural area, while this was not the 25 case for the MMSE. Thus, in patients with aMCI, MoCA appears to have a slight advantage as 26 27 compared to MMSE regarding the number of significant associations that were observed with fitness to drive related-measures. Nonetheless, the MMSE, despite its more general nature and the 28 29 limited number of items that are related to executive functioning, showed considerable associations 30 with critical indexes of driving fitness, namely accident probability and reaction time.

In the mild AD group, headway distance in the rural area and accident probability in the urban area presented significant associations with both cognitive screening tests. However, the MoCA was also associated with average speed in the rural area, a finding that was not identified in the case of the MMSE. Hence, MoCA appears to have a slight advantage as compared to MMSE also in the case of patients with mild-AD.

Overall, the findings of the current work regarding both clinical groups showed a greater 36 number of significant associations with driving indexes in the case of the MoCA as compared to 37 the MMSE. However, the advantage of the MoCA relatively to MMSE appears to be weaker than 38 39 what we expected because the MMSE also manifested significant associations of similar 40 magnitude with crucial driving indexes, such as reaction time and accident probability. Hence, this pattern of findings partially supports our hypothesis that predicted a clear advantage of the MoCA 41 42 as compared to the MMSE due to its greater executive load (41). Therefore, an alternative approach 43 that may take advantage at a greater extent of the executive-related nature of driving (40) is the application of specialized tests that focus on executive functioning instead of using measures that 44 assess cognition in a more general way, even if they engage at some extent executive resources. 45 46 An indicative neuropsychological test assessing specifically executive functioning that appears to be effectively associated with a variety driving indexes according to accumulating findings of 47

11

previous research is the Trail Making Test (1, 15, 18, 22, 45). Under this perspective, future studies could quantify the contribution of specialized measures on predicting various driving indexes by applying multivariate models that have the capacity to evaluate their effectiveness, after controlling for the shared amount of variance that is also explained by general cognitive measures, such as the MMSE and the MoCA.

6 Importantly, our findings indicate absence of significant associations between the driving 7 variables and both MMSE and MoCA performance in the healthy elderly group. This pattern of 8 findings may be explained by two complementary factors, namely the presence of ceiling effects regarding the level of performance as well as the limited amount of inter-individual variation that 9 was observed in the group of cognitively healthy individuals in the case of both cognitive 10 instruments. Hence, MoCA and MMSE appear to be effective for detecting associations with 11 fitness to drive related-measures in patients with MCI or mild-AD but not in the case of the 12 cognitively healthy individuals. This finding is in line with previous studies that also detected non-13 significant associations between MMSE performance and driving indexes in groups of healthy 14 elderly individuals (26, 27, 28, 29). 15

In conclusion, the current findings add to the existing knowledge regarding the utility of 16 the MMSE and the MoCA for detecting associations with driving indexes in patients with aMCI 17 18 and mild AD. Overall, the MoCA appears to have a slight advantage as compared to the MMSE. 19 Nonetheless, the MMSE, similarly to the MoCA, revealed associations of considerable strength 20 with crucial indexes related to driving fitness, such as reaction time and accident probability. Therefore, these two measures of general cognitive functioning, with a relative advantage of the 21 MoCA, provide information that may facilitate the effort for detecting those patients with aMCI 22 and mild AD with problematic driving skills. However, for increasing the accuracy of 23 24 recommendations related to driving fitness it is recommended that measures such as the MoCA and the MMSE should not be used in a sole fashion but instead as elements of a broader evaluation 25 that may be accompanied by specialized neuropsychological tests or even actual driving when this 26 27 is deemed necessary.

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