

### Road Safety and Simulation Conference Session 6: Big Data Presenter: Dr Cheuk Ki Man (Jacky)

Paper 185: Exploring Transferability of Real-time Crash Prediction Models using Transfer Learning under Imbalanced Data Setting

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## **Outline of Presentation**



# **O**<sup>1</sup>Introduction

O2iterature Review

O Rnowledge Gap

**O**GResults

**O D**iscussion

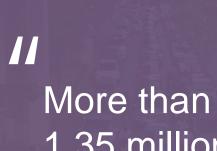
Methodology

O Data Collection and Preparation

Bimitations and Future Research Directions

## Introduction





1.35 million people died annually as a result of road traffic crashes.

- WHO, 2018 -

Works on understanding crash mechanisms began since 1960s.

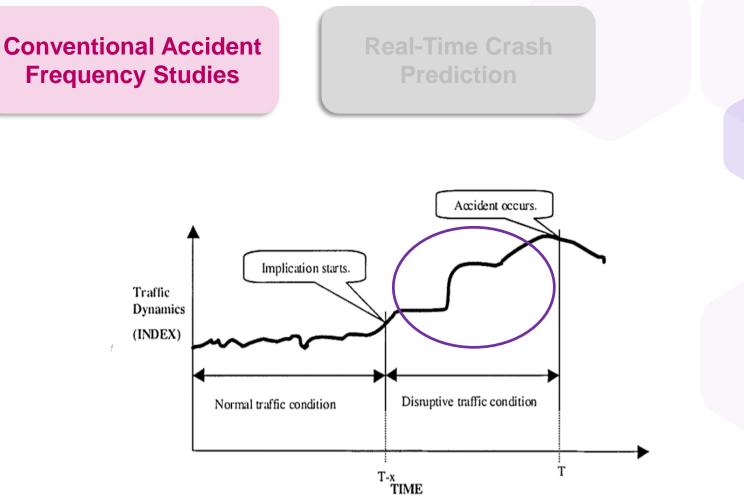


Fig.1 Normal and disruptive traffic dynamics (Oh et al., 2001)

## Introduction



More than 1.35 million people died annually as a result of road traffic crashes.

- WHO, 2018 -

Works on understanding crash mechanisms began since 1960s.

Conventional Accident Frequency Studies Real-Time Crash Prediction

#### to classify crash from non-crash traffic situations.

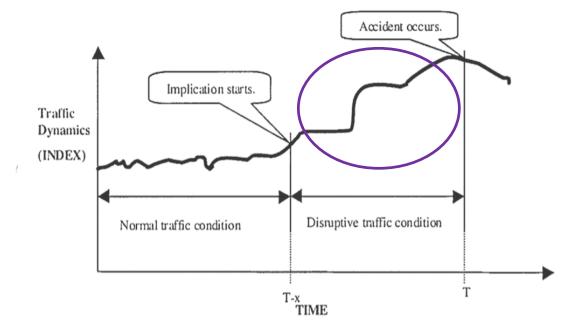


Fig.1 Normal and disruptive traffic dynamics (Oh et al., 2001)

### Literature Review – Real-time Crash Prediction

Studied commenced since 1990s with the advocate of Active Traffic Management System (a safety paradigm shift from reactive to proactive)



Models with different statistical and machine learning methods were developed.

Use of full-dataset classification in recent studies (**Data imbalance issue**)



With disaggregated data became available, **real-time crash prediction models** were made feasible.

Common methodology of classify crash from non-crash cases using **matched case-control sampling**.

#### **Matched case-control sampling**



### Literature Review – Imbalanced Data Issue

• Crash is rare and stochastic

#### • In traffic data

- o crash is minority case
- o non crash is majority case
- Highly Imbalanced Data
  - o Biased Data
  - Prediction will slant on majority case (low recall)
- Data needed to be balanced through
   oversampling
  - balancing crash and non-crash by creating more crash cases synthetically

| Non-Crash |
|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Non-Crash |
| Non-Crash |
| Non-Crash |
| Non-Crash |
| Non-Crash | Non-Crash | Non-Crash | Non-Crash | Non-Crash | Non Crash | Non-Crash | Non-Crash |
| Non-Crash | Non-Crash | Non-Crash | Non-Crash | Non-Crash | Crash     | Non-Crash | Non-Crash |
| Non-Crash |
| Non-Crash |
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Non-Crash	Non-Cras
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Non-Crash	Non-Cras

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# 2 Literature Review – Transferability University

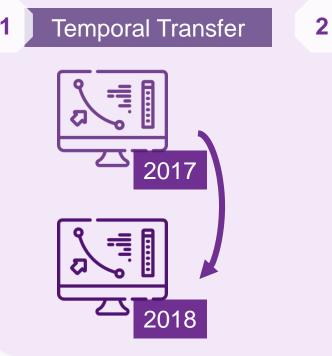
**Transferability** is: "ability of the model or the findings of one sample to be applied to another sample"

### <u>Conventional</u> <u>Approach</u>

 Direct testing and updating approach

Limited model transferability

### **3** types of transferability modes:



### Spatial Transfer













### Class Imbalance

- Heavily imbalanced disaggregated traffic data
- Oversampling for class balancing (i.e. WGAN)

Aim To develop a transferable real-time crash

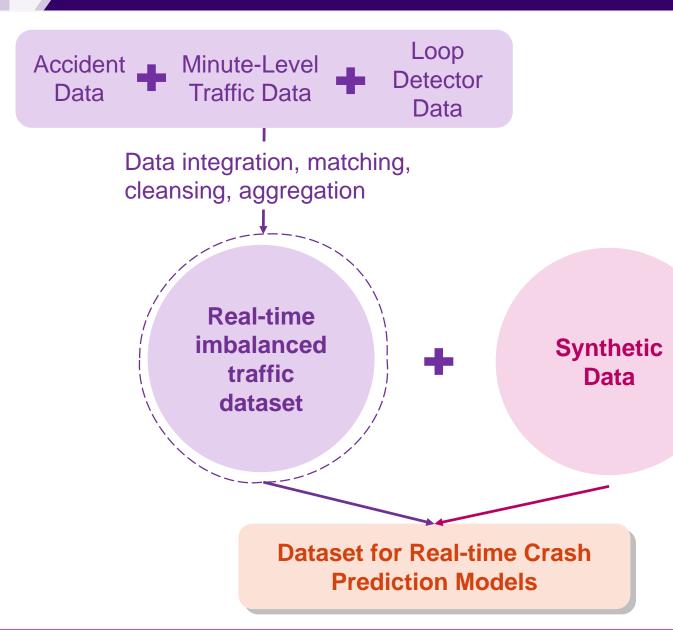
prediction model using **highly imbalanced** disaggregated real-

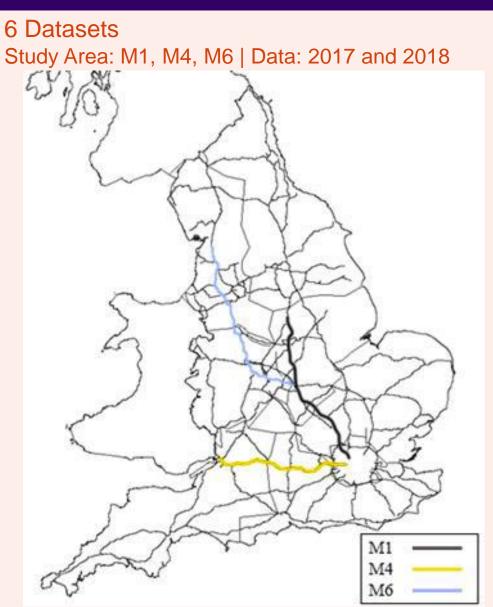
time traffic data

Model Transferability

- Limited model transferability
- Transfer Learning

## 4 Data Collection and Preparation

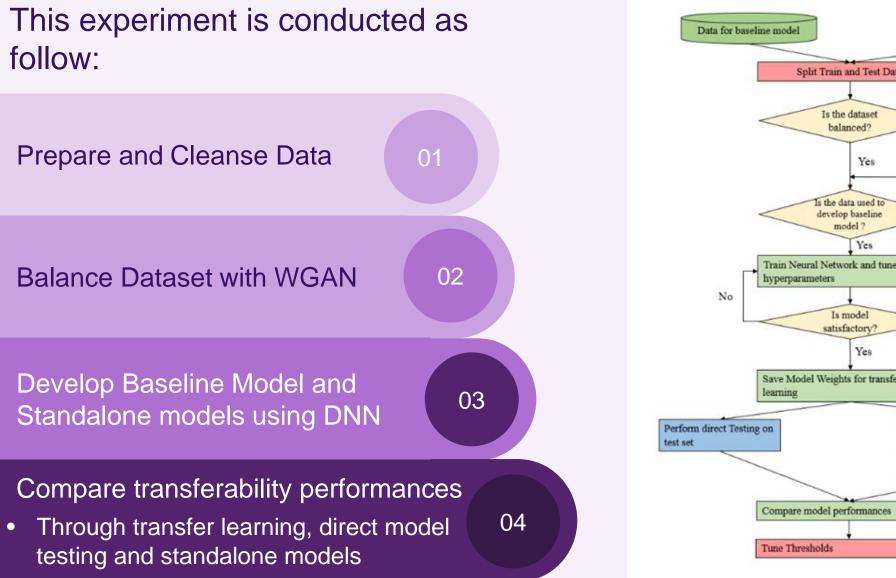


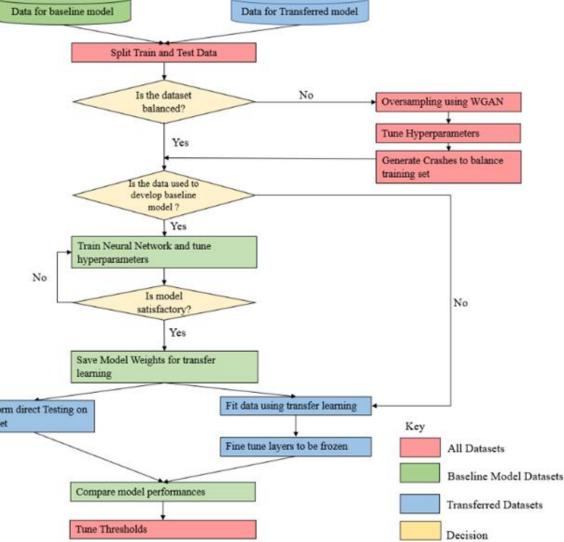


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Figure 2. Study Area

### 5 Methodology - Experimental Framework





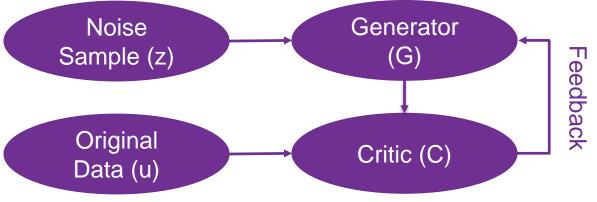
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# Methodology - Wasserstein GAN

- Concept from the generator learns from the feedback of the critic network who tells if the synthetic data generate is good or bad.
- A variant of GAN

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- Generative model for synthetic data
- Better training quality than GAN



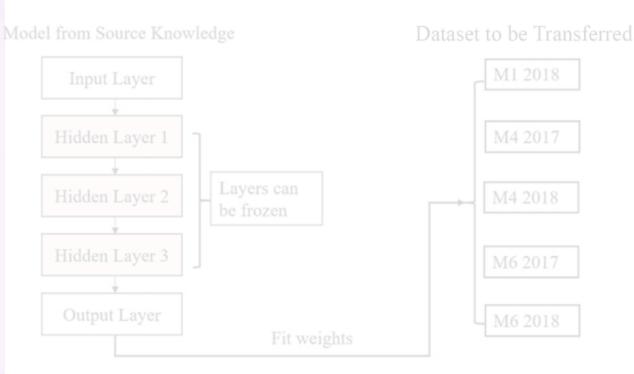
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Figure 4. WGAN Architecture



# 5 Methodology - Transfer Learning

- Employed in computer science
  - E.g. translation software, word character recognition
- Fitting the weights trained from the source model (M1 2017) to dataset to be transferred
- Layers can be fine tuned customising hidden layers to be frozen

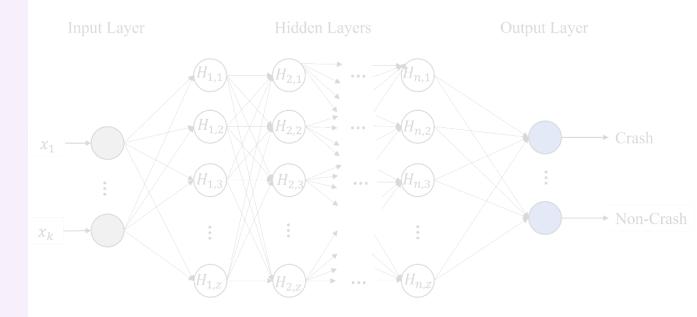


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Figure 5. Transfer Learning Procedure

### 5 Methodology - Deep Neural Network

- **DNN** is used as realtime crash prediction model
- Ability to learn large datasets in a non-linear manner
- High model predictability
   in literature

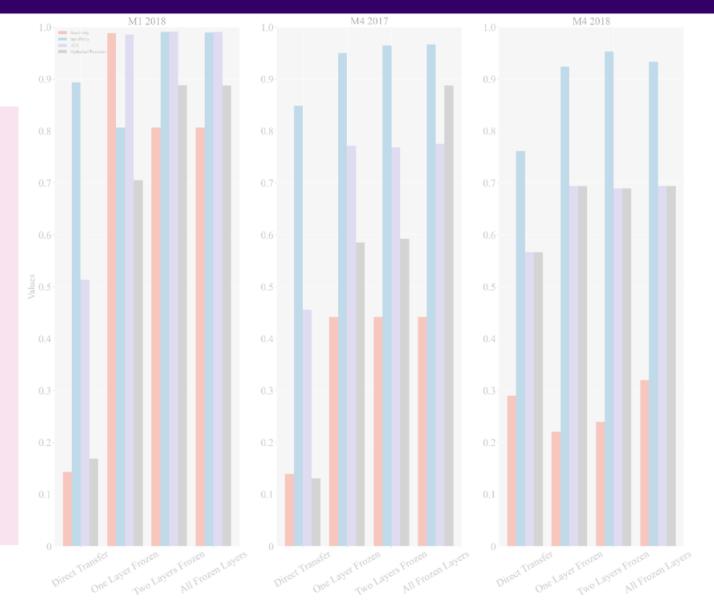


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Figure 6. Architecture of Deep Neural Network

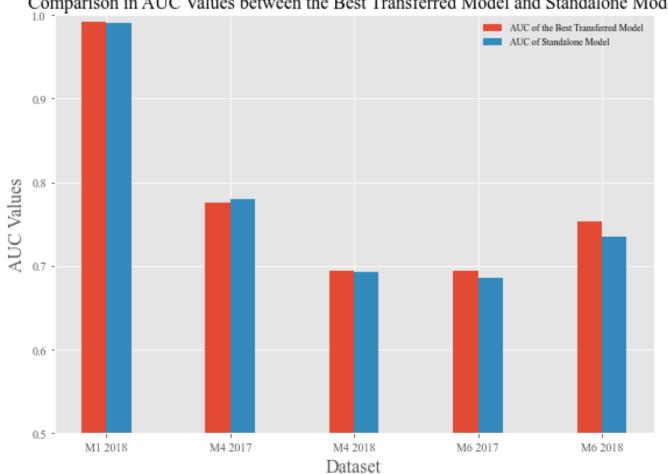
### 6 Findings – Transfer Learning vs Direct Testing University

- Transfer Learning was applied
- Weights trained from M1 2017 model was applied to 5 other datasets with fine tuning performed
- Best performance in temporal transfer with 0.95 AUC
- Direct Testing does not work



#### Findings – Transfer Learning vs Standalone Models 6

- Five separate standalone models trained for comparison
- Transfer Learning achieved even better performance than standalone models



Comparison in AUC Values between the Best Transferred Model and Standalone Model

Figure 8. Comparison of Model Performance between Best Transferred Model and Standalone Model



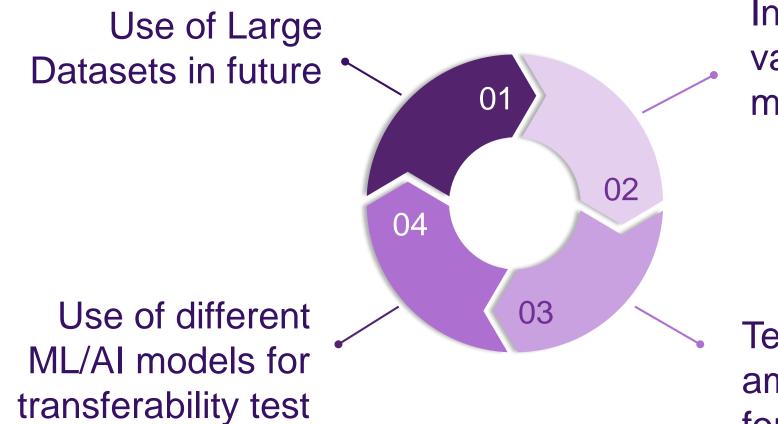


Transfer Learning Outperforms:
Direct Testing
Standalone Models

Can save time and effort for tuning and training hyperparameters for different models

Easier for highway managers to deploy real time crash prediction model

# 8 Limitations + Future Research Directions University



Inclusion of data from various dataset in model transferability

Test the minimum amount of data required for model transfer



## **Thank You !**

# **Any Questions?**