

1 **Road Safety Audit: A comparative Review of Current Guidelines and**
2 **Designers' Approach**

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17 **ABSTRACT**

18
19 Road Safety Audit (RSA), as a formal system of checking roadway schemes for safety
20 problems, was originally adopted in Great Britain and spread to many countries throughout
21 the world. RSA is considered the major and most cost-effective proactive road safety
22 measure. Several national guidelines have been published providing guidance and
23 information on how the RSA process should be carried out.

24 The present paper focuses firstly on a comparative review of the three main current RSA
25 guidelines internationally: those published by Austroads in 2009: those published by the
26 British Institution of Highways and Transportation (IHT) in 2008 and those published in the
27 USA in 2006, by the Federal Highway Administration (FHWA). Relevant legislation and
28 standards were also taken into account while undertaking this comparative review. More
29 specifically both the European Commission's Directive 2008/96/EC on Road Safety
30 Infrastructure Management, issued in 2008, as well as the British standard HD 19/03 for
31 Road Safety Audits, issued in 2003, were considered.

32 A survey was also carried out in order to determine the approach of highway designers in
33 Greece to this –recently introduced in the country– safety measure. In-depth interviews with
34 designers were carried out on the basis of a questionnaire set up in advance by the authors.

35 The findings of this paper may contribute to the enhancement of the existing RSA guidelines,
36 as well as to the development of relevant guidelines in countries such as Greece, where the
37 RSA is to be introduced.

38 Keywords: *Road Safety Audit (RSA), RSA Guidelines, Road Design, Road Safety Auditors,*
39 *Traffic safety culture.*

40 41 42 **INTRODUCTION**

43
44 Road Safety Audit (RSA) was introduced in the United Kingdom during the 1980s. The main
45 reason for its introduction was that road safety engineers in the country's highway authorities
46 were implementing casualty reduction schemes on roads that were designed and constructed
47 in accordance with the latest design standards (IHT, 2008). This phenomenon was the cause
48 for understanding that firstly, a road design which complies with the technical standards is
49 not necessarily safe and secondly, that the likelihood of collisions should be reduced before
50 the road is used. Thus, instead of waiting until the collision problems appeared on recently
51 constructed schemes, road safety engineering expertise was applied so as to prevent road
52 accidents from occurring (IHT, 2008). In 1990, the first RSA guidelines were published by
53 the Institution of Highways and Transportation. These guidelines were revised in 1996 and
54 2008. It is noted that, apart from the guidelines, the British Department for Transport added
55 to its Design Manual for Roads and Bridges (DMRB) an advice note (HD 19/03) which is
56 currently the national standard describing the RSA process (DfT, 2003). This national
57 standard –as part of the DMRB– is mandatory for use on trunk roads and motorways but not
58 on local roads.

59 Road Safety Audits were introduced in 1990 in Australia and New Zealand, after several
60 exchanges and visits of road safety engineers from these two countries and the United
61 Kingdom (Morgan, 2005). Through these exchanges and visits, the British experience in
62 carrying out RSAs was passed on to the Australian and New Zealander engineers. The first
63 RSA guidelines were produced in 1994 by Austroads, the association of Australian and New
64 Zealand road transport and traffic authorities (Austroads, 1994). The publication of the

65 second edition of the Austroads RSA guidelines followed in 2002 and the third edition was
66 published in 2009. In the third edition of the Austroads guidelines, which is currently in use,
67 significant changes were incorporated including references to the safe system approach to
68 road safety and tools to assist with the RSA process.

69 In 1996, the Federal Highway Administration (FHWA) sponsored a scanning tour in
70 Australia and New Zealand (FHWA, 2006), giving the opportunity to its engineers to study
71 the Australian road safety audit programmes in order to become familiar with strategies on
72 how to implement RSAs in the USA (Heaslip J. et al., 2010). The first RSA pilot program
73 was then introduced to thirteen States. By 2010, the number of the States where RSAs were
74 conducted had nearly doubled and, gradually, RSAs are becoming more and more accepted
75 throughout the country (Heaslip J. et al., 2010). The first FHWA RSA guidelines were
76 published in 2006 to provide, according to its authors, “*a foundation for public agencies to*
77 *draw upon when developing RSA policies and procedures and when conducting RSAs within*
78 *their jurisdiction*”, with the aim that they would “*further the integration of RSAs into*
79 *everyday engineering practice*”. Apart from the guidelines mentioned above, FHWA has
80 documented a report including ten RSA case studies carried out in several States of the
81 country (FHWA, 2006a). In addition, pedestrian-specific as well as bicyclists-specific RSA
82 Guidelines were published by the same body (FHWA, 2007) (FHWA, 2012). Furthermore,
83 the European Commission’s Directive 2008/96/EC on Road Safety Infrastructure
84 Management and the British standard HD 19/03 were also taken into consideration for this
85 review. This advice note was issued by the British Department for Transport in 2003 and it is
86 currently the British regulation (i.e. the official national standard) for Road Safety Audits. In
87 a more general perspective, the European Directive aims to integrate safety into planning,
88 design and operation of the Union’s Trans-European Network (DfT, 2011); Member States
89 should integrate this directive in their national legislations and standards. With this Directive,
90 the interoperability of procedures within European countries –and especially within European
91 Union Member States– is established on the Trans-European road network. This could be an
92 opportunity for the enhancement of road design standards through a possible future
93 homogenization of those within the EU.

94 This paper focuses firstly on a comparative review of the Austroads RSA Guidelines that
95 were issued in 2009 in Australia, the British RSA Guidelines which were published by the
96 Institution for Highways and Transportation in 2008 and the RSA Guidelines of the Federal
97 Highway Administration that were published in the USA in 2006.

98 Secondly, the present paper includes a survey carried out in Greece which investigates
99 highway designers’ approach regarding Road Safety and Road Safety Audits as part of their
100 work. Road Safety Audit was legislated for in Greece in the end of 2011, following the
101 European Directive 2008/96/EC issued in 2008. As a new process to be implemented, RSA
102 could be seen as an opportunity to develop a road safety culture among designers.

103

104 **COMPARISON OF THE RSA GUIDELINES**

105

106 As it was noted in the introduction, the RSA was “born” in the United Kingdom; it was then
107 passed on to Australia and New Zealand where it was significantly enriched and enhanced;
108 finally the USA Road Authorities, having been convinced of the benefits of the RSA in
109 Australia and the UK, started exploring the opportunities that this process offers. It is widely
110 accepted (Bulpitt, 1996) (Morgan & Jordan, 2000) that the RSA Guidelines produced in the
111 countries above were pioneers in promoting the key idea-reason for carrying out an Audit,
112 which, simply put, is that Design Standards do not guarantee a safe design. The USA guide,
113 on the other hand, is a useful handbook especially for countries –like Greece– that are

114 currently in the process of integrating the RSA in their own road safety programmes.
115 Therefore, comparing the RSA Guidelines published in these countries was deemed as the
116 best way to understand how the RSA process evolved internationally.

117 Nevertheless, it is noted that other countries like the Netherlands, have managed to maintain
118 high levels of road safety without the process of Road Safety Audit being widely applied in
119 their road schemes. According to SWOV (2012), although the RSA is legislated for in
120 Europe, it “*is not applied on a large scale in the Netherlands*”. Furthermore, in a report
121 prepared by van Schagen (2000), quoted in SWOV (2012), it is mentioned that, inter alia,
122 some road authorities failed to understand the benefits from distinguishing the RSA from
123 other processes that have to do with the design and planning of a scheme.

124 The aim of this paper is to focus on a comparative review of RSA Guidelines in countries
125 where the RSA is mostly infiltrated into the planning, design, construction and management
126 process of road schemes and, of course, where RSA Guidelines have been prepared,
127 published and implemented. The comparison between the aforementioned guidelines was
128 carried out considering the following key elements: the defining philosophy of each guide;
129 how vulnerable users are addressed in each one; the relation of each RSA guide to the road
130 design standards; the approach of each guide to the road safety audit “checklists” and the
131 benefits of the RSA process to the designer. In the following we address similarities and
132 differences between these three Guidelines. Differences are actually viewed as variations in
133 the emphasis put on the above key elements or specific issues that are discussed in the
134 sections to follow.

135 It is important to point out that there is a clear consensus within the three guidelines on the
136 basic elements that define the Road Safety Audit. Indeed, they all agree that the RSA is a
137 formal examination, a systematic assessment of the road safety performance of an existing or
138 future road or intersection, carried out by an independent multidisciplinary team of people
139 with the appropriate experience and training (Austroads, 2009) (IHT, 2008) (FHWA, 2006).
140 In addition, it is a common argument underlined in all guidelines that the safety audit has to
141 address the safety issues affecting all road users, it must report the opportunities for safety
142 improvement and, finally, it must not be confused or interfere with any kind of technical
143 audit or check of the road scheme, either at the design or the operation level. Despite the
144 above convergence of the guidelines on the essentials of the audit process, different
145 approaches can be spotted when one tries to compare the three documents.

146 Road Safety Audits were introduced in the USA following the Australian model (Navin, F. et
147 al., 1999). However, the corresponding RSA Guidelines in the United States focus more on
148 the process of the Road Safety Audit (i.e. on how local highway authorities should adopt the
149 RSA process into their programme) rather than the safety principles of the audit process, on
150 which the Australian guidelines shed more light. The British Guidelines (IHT, 2008) and the
151 corresponding national standard (DfT, 2003) provide adequate information both on the
152 formal “step-by-step” process (for example on how the audit process should be carried out
153 according to the national standards, how to develop a related policy on a local government
154 level etc.) and on several principles of safety, by means of presenting examples or case
155 studies that include photographs and plans. This kind of information is comparatively limited
156 in the US RSA Guidelines; however, it is noted that real RSA case studies are separately
157 provided in a report issued by FHWA (2006a).

158 Furthermore, it is noted that although the very first RSA guidelines worldwide were
159 published in the UK in 1990, M. Bulpitt, one of the authors of the first British guidelines
160 characterised the Austroads (1994) guidelines as “*the definitive document on safety audit, for
161 its messages and recommended procedures transcend hemispheres and are applicable*

162 *anywhere in the developed world*' (Bulpitt, 1996). Moreover, the first Austroads guidelines,
163 published in 1994, were also recognised as a very easy to read document by Morgan R. and
164 Jordan P. (2000).

165 Indeed, the Austroads RSA guidelines, especially the third edition, is a handbook that not
166 only offers guidance on the formal step-by-step process of the RSA, but also includes a
167 variety of information and prompts, allowing its users (who are possibly road safety auditors
168 or designers) to enrich their knowledge of recent research and experience-related findings in
169 road safety engineering. The reference to the "Safe System Approach", which is included in
170 the current 2009 edition of the Austroads guidelines, is an example in this direction.

171 In addition, several practical examples which are relevant to each chapter or paragraph are
172 reported throughout the guide. For instance, when analysing the aspects of the road safety
173 audit of the preliminary design stage, the authors of the Austroads Guidelines provide a
174 practical example of a rural highway on-ramp link. What is more, a whole chapter of the
175 guidelines is dedicated to reporting and analysing case studies based on actual road safety
176 audits.

177

178 **The defining philosophy of each RSA guide**

179

180 In the British Guidelines' introduction, the highway's contribution to human error, which
181 may lead to a crash, is recognised. A key question that has to be answered in order to
182 understand this contribution to highway collision is: "*Why did this road user fail to cope with*
183 *their road environment?*" (IHT, 2008). Following this fundamental concept, the IHT
184 guidelines specify the role of the Road Safety Auditor, as the person who is to ask the
185 following two questions, when looking at a design or a newly constructed scheme (IHT,
186 2008):

187 – "*Who can be hurt in a collision on this part of the highway, and how might that*
188 *happen?*" and

189 – "*What can be done to reduce the potential for that collision, or to limit its*
190 *consequences?*"

191 The questions above, combined with the motto of the British RSA guidelines from the very
192 first edition that "*prevention is better than cure*", form the defining British approach to the
193 RSA process.

194 The FHWA (2006) guidelines, as mentioned in the introduction, are considered a document
195 which serves to assist the local highway authorities when conducting RSAs, or when
196 developing road safety-related policies and procedures. The main approach to the RSA
197 process is the existence of flexibility when integrating the RSA process in an agency's
198 programme, which, according to the FHWA (2006), should be done in such a way that
199 "*public agencies need to make RSAs work for them*".

200 The Austroads Guidelines' approach to the RSA is primarily based on the "Safe System
201 Approach" (Austroads, 2009), a term originating from Sweden's "Vision Zero" (SNRA,
202 2006) and the Dutch "Sustainable Safety" strategies (SWOV, 2006) (Kanellaidis G. &
203 Vardaki S., 2011). The Safe System introduces a humanitarian approach to road safety and is
204 built on the basis that, although accidents cannot be fully prevented due to the road users'
205 fallibility, the impact forces on human bodies in a crash should be such that "*no deaths or*
206 *serious injuries occur in road traffic*" (OECD/ITF, 2008). Apart from the "Safe System"
207 approach, the Australian guidelines' philosophy may be described by the quote "Getting it
208 right the first time", which is a concept used primarily in quality assurance. This general
209 objective can be applied for example in the audit process, where those involved (designers,

210 auditors, project managers etc.) seek to ensure the road operates ‘right the first time’ once it
211 opens, with road users making fewer mistakes (Austroads, 2009), leading in this way to a less
212 costly and also more effective road network. In road design, the idea is for designers to ‘get
213 the design right’ from the beginning, i.e. make fewer ‘safety mistakes’ that will, in turn, be
214 spotted as early as possible. By being more conscious about safety when designing, designers
215 save both time and unnecessary costs related with future modifications of the initial plans
216 and/or designs (Austroads, 2009).

217

218 **Vulnerable road users**

219

220 It is widely accepted throughout the guidelines examined that RSAs should not only focus on
221 motorised traffic, but should equally consider the needs and limitations of all potential road
222 users (FHWA, 2006) (Austroads, 2009) (IHT, 2008). Focusing on the needs and limitations
223 of all road users, including the most vulnerable ones, is an aspect of the RSA process which
224 seems to be mentioned in the Austroads guidelines in more detail, compared to the other two.
225 More specifically, the chapter which describes the ‘safety principles’ of the Austroads (2009)
226 guide includes detailed information on how to design generally for all road users –something
227 which is also included in the IHT (2008) guide– but also on how to design for safe speeds, for
228 older road users, for pedestrians and for motorcyclists. In this section, the needs and
229 limitations of vulnerable users are clearly stated. This is a fundamental starting point for any
230 auditor, who has to audit/check the design through the eyes of all road users. Although the
231 other two guidelines refer to the term “vulnerable user” throughout the text, they do not
232 provide any specific information for their needs and limitations.

233

234 **The relation of each RSA guide with the road design standards**

235

236 As mentioned in the introduction, the need to carry out Road Safety Audits first emerged
237 when newly constructed road schemes designed to standards presented a poor crash
238 performance. The audit process is a continuous structured and systematic exchange of
239 information between auditors and designers that leads to the improvement of the design from
240 a safety perspective. More specifically, the guidelines for Road Safety Audit can supplement
241 the design standards and thus prove to be a catalyst to help the designers. The iterative
242 feedback process between the audit and the design could also benefit the gradual update of
243 the design standards.

244

245 With regard to the relation of the RSA and the road design standards, there is a common view
246 throughout the examined guidelines, which agrees with the discussion (Kanellaidis G., 1996)
247 (Hauer E., 1999) (Morgan R. & Jordan P., 2000) that compliance with road design standards
248 does not guarantee a safe design, nor does failure to comply with the standards necessarily
249 lead to an unacceptable design from a safety perspective (FHWA, 2006). The Australian RSA
250 guidelines state that “*standards are an important starting point in any road design*”
251 (Austroads, 2009) and the FHWA guidelines add that standards compliance should be
252 checked, “*if non-compliance is a relevant road safety issue*” (FHWA, 2006). Overall, it is
253 widely accepted that the RSA is definitely not a way of merely checking compliance with
254 technical standards (Kanellaidis G., 1999; Austroads, 2009) (IHT, 2008) (FHWA, 2006).

254

255 In the United Kingdom, the Departmental Standard HD 19/03 for the Road Safety Audit
256 (Volume 5 of the DMRB – Assessment and Preparation of Road Schemes) is included in the
257 Design Manual for Roads and Bridges (DMRB) and is actually as much a part of the DMRB
258 as any other technical standard (IHT, 2008) (DfT, 2003). In this way, designers who are
concerned with road safety issues when planning, designing or even operating a road (or a

259 road network), can easily have access to this standard, since it is included in the Manual that
260 they already use in their work (DMRB).

261 However, since this standard (HD 19/03) is used by safety auditors, the aforementioned
262 practice does not guarantee that recent safety and human-factors developments, expressed as
263 principles of the RSA that are written in the specific standard, will be passed on to the
264 highway designers. A promising way of achieving the objective is the effective integration of
265 relevant information from the fields of the safe-system approach, user-centred design and
266 road-safety auditing, into highway geometric design guidelines (Kanellaidis G. & Vardaki S.,
267 2011). In this way, the designers will merely be using an updated version of the standards that
268 they are already familiar with.

269

270 **The approach of each guide to road safety audit “checklists”**

271

272 It is accepted in each guide that checklists should not be used as simple ‘tick sheets’
273 (Austroads, 2009); instead, they should assist the safety audit process as a memory aid or a
274 prompt to ensure that no potential or important safety issues (i.e. a category of road user) are
275 ignored (IHT, 2008) (FHWA, 2006). They should also not be seen as exhaustive or inclusive
276 of all safety issues, since different circumstances in each scheme lead to the need for a
277 different approach in checklists (FHWA, 2006). Austroads’ (2009) viewpoint that “*Checklists*
278 *are a means to an end, not an end in themselves*” seems to summarize the concept of using
279 the checklists properly.

280 The appellation of checklists in the US Guidelines as ‘*prompt lists*’ is a way of making their
281 users to see them only as prompts and not as ‘expert lists’ that should be ticked off. In
282 addition, the prompt lists that are included in the FHWA Guidelines are only general,
283 meaning that they only address “general topics”. Their purpose is to encourage RSA team
284 members to get into specific issues after they have considered the more general ones (FHWA,
285 2006). Auditors are therefore encouraged to write their own detailed checklists, tailored to
286 each road scheme and each stage. In the Austroads’ guidelines however, both master
287 (general) checklists and detailed checklists are included. Although the US approach urges the
288 users of the checklists to have a more critical attitude, less experienced users (auditors or
289 designers) can benefit from more detailed checklists (Austroads, 2009) (IHT, 2008).

290 Checklists are not only addressed to safety auditors; designers may also use them to identify
291 potential safety issues proactively in their design (Austroads, 2009) (FHWA, 2006). This
292 opportunity for safety checklists to ‘infiltrate’ into the design process is mentioned in the
293 Australian and American RSA Guidelines.

294

295 **The benefits of the RSA process to the designer**

296

297 Road safety audits can benefit not only the design or scheme that is being audited, but future
298 designs too, thus gradually developing a “safety culture” among road designers (Kanellaidis
299 G. & Vardaki S., 2011) (Austroads, 2009). Feeding back the knowledge and experience
300 gained from RSAs into the design process is a critical step of the audit process, since its
301 purpose is that the recipients of this feedback (designers and public agencies) will not be
302 making the same mistakes again and again (Austroads, 2009). According to the FHWA
303 guidelines, the last step of the road safety audit process is to incorporate the RSA findings
304 into the project when appropriate, ensuring that the audit process is a learning experience for
305 all parties. This last step ensures that the knowledge gained from the project owner and the
306 design team will ultimately result in the management and design of a safer road network
307 (FHWA, 2006).

308 Austroads guidelines specify, providing detailed advice, the opportunities for feedback that
309 exist: feedback into the existing project; feedback into other projects within the same
310 organization; feedback generally to the profession; feedback into revised standards; feedback
311 to auditors (Austroads, 2009).

312 The authors of the British RSA guidelines acknowledge that, in the UK, designers and public
313 agencies must have the opportunity to benefit from RSAs that have been conducted in the
314 past. In addition, some auditors in the UK have noted that the number of safety issues per
315 audit diminish over time, when several audits are undertaken for a long period of time for the
316 same designer (IHT, 2008). This is owing to the fact that the audit process is a learning
317 experience for the designers, who gradually “*anticipate the safety issues and design in safety*
318 *features from the start*” (IHT, 2008).

319

320 **GREEK HIGHWAY DESIGNERS’ APPROACH TO ROAD SAFETY AUDIT**

321

322 The European Directive 2008/96/EC on Road Infrastructure Safety Management was
323 integrated into Greek law in November 2011, which is approximately six months after this
324 survey was carried out. However, some designers were involved in RSAs that were mostly
325 carried out on the newly constructed or under construction national freeways. The lack of a
326 formal process by the State Authorities, according to the respondents who took part in such
327 Audits, had resulted in “relaxations” of the RSA process in some cases. The comparative
328 review of the three main RSA Guidelines internationally, presented in the previous section,
329 can be a useful tool in helping the practitioners (auditors and/or designers) to understand the
330 way the RSA process is approached in different guidelines. It can also provide them with a
331 critical insight on each Guide’s practices and help them shape their own “best” approach.
332 Furthermore, this comparative review could be exploited in Professional Development
333 programmes.

334 The previous review of RSA guidelines showed that a fundamental element for the success of
335 the Audit process is the existence of proper cooperation between the two parties: highway
336 designers and road safety auditors. Examining the extent to which Highway Designers accept
337 the application of the RSA to their design is crucial for the successful implementation of the
338 process in a country.

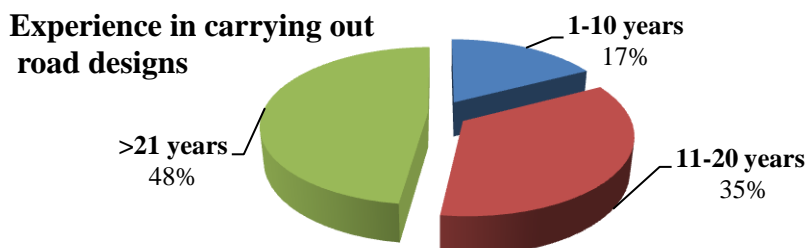
339 In order to investigate the approach of highway designers to the RSA, twenty-three (23)
340 interviews were carried out. The questionnaire was designed after carrying out a research on
341 RSA Guidelines published internationally as well as a wider literature review on research
342 related to RSAs as well as to traffic safety culture, specifically attitudes and behaviour
343 investigation (Transportation Research Board 2010). Although the sample size is relatively
344 small, it corresponds almost to the actual population of Greek road designers that were
345 actively carrying out road designs and RSAs at the time of the survey. This undoubtedly
346 constrained the authors from carrying out extensive statistical tests. Further discussion on the
347 statistical analysis is reported in the sections to follow. During each interview, designers were
348 asked a number of questions that were included in a questionnaire developed by the authors.
349 More detailed aspects of the designers’ characteristics, approach to RSA and reported
350 behaviours were recorded for this survey. However, due to the restrictions on the maximum
351 length of this paper, we present the main features of the collected data by reporting some
352 general trends in the descriptive statistics section below.

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354 **Results from the survey: descriptive statistics**

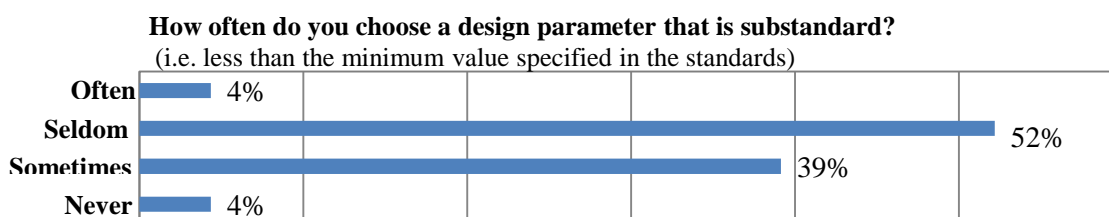
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356 Firstly, it is important to understand a fundamental characteristic of the respondents- their
 357 experience. As it can be verified from Figure 1, the majority of the designers have more than
 358 21 years of experience. However, groups of medium and low experience are also represented.
 359 Regarding the size of the company the respondents were working for, the majority of them
 360 were free lancers or employees of medium-sized consultancies. Some interviews from
 361 designers working for larger organisations were obtained too but these represented a small
 362 percentage of the sample.
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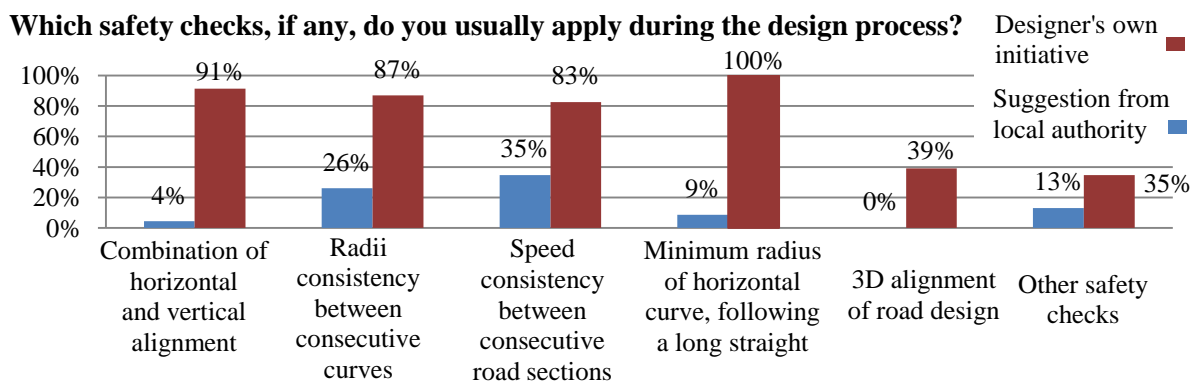
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 365 **Figure 1** – Designers’ characteristics: experience
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367 The ‘compliance behaviour’ of highway designers with geometric design standards also
 368 needed to be investigated. As is illustrated in Figure 2, more than half of the engineers in the
 369 sample state that they seldom choose substandard parameters when they design. Also, more
 370 than one out of three respondents state that they sometimes design substandard elements in
 371 their road designs. The extreme responses of ‘always’ and ‘never’ correspond to marginal
 372 percentages of 4% each.
 373



374
 375 **Figure 2** – Designers’ characteristics: choosing substandard design parameters
 376 (variable code name DC1)
 377

378 Apart from the frequency with which the respondents “obey” or “ignore” the design
 379 standards, it was also considered important to identify which safety checks, if any, they apply
 380 to their designs (Figure 3). An interesting aspect of the responses to this question is whether
 381 each safety check was applied on the designer’s own initiative or if it was carried out
 382 following a suggestion from the highway authorities (i.e. the client, to whom engineers
 383 usually submit their road designs). As can be concluded from this survey, highway designers
 384 are more likely to apply a safety check without any suggestion from the highway authorities.
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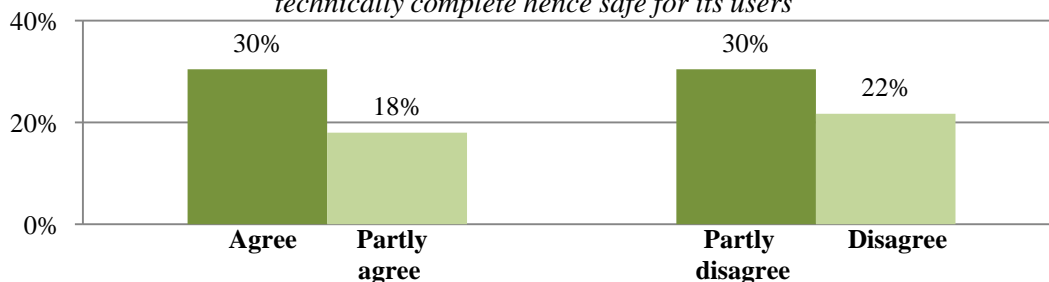
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Figure 3 – Designers’ characteristics: applying safety checks (var. code name: DC2)

The designers were then asked to state to what extent they agreed or disagreed with a phrase that summarises a “prevalent” view, according to which *if design standards are met, then the road is safe for its users*. Responses to this phrase can give a hint of the level of awareness that the designers have about road safety and of their safety culture (Figure 4). As it was argued in a previous section of this paper, the phrase given to the respondents is incorrect, as compliance with technical standards does not necessarily result in a safe design (Hauer, 1999). There is no clear trend in the designers’ approach, as half of them roughly agree and the other half of them roughly disagree with the given phrase.

Please indicate to which extent you agree or disagree with this

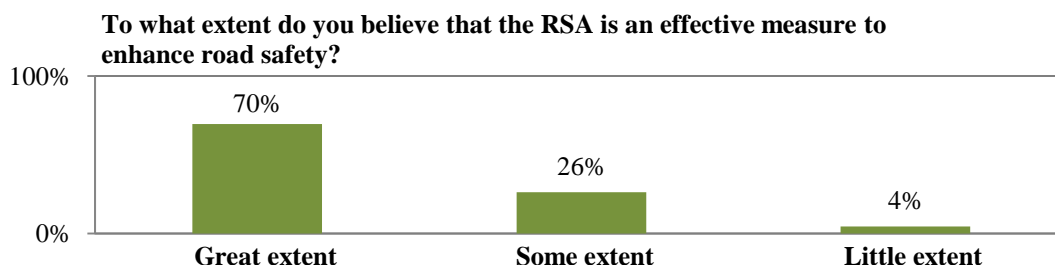
phrase: *"If design standards are met, then the road design is technically complete hence safe for its users"*



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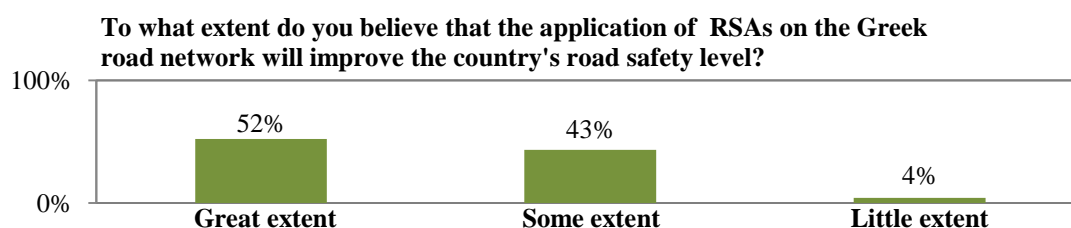
Figure 4 – Designers’ approach: the ‘prevalent’ view (variable code name: DA1)

The two figures below aim to present the approach or attitudes of the designers towards the effectiveness of the RSA process in enhancing road safety (Fig. 5) and the extent to which RSAs can contribute to the improvement of the road safety level of the Greek road network (Fig. 6). It is worth noting that although the majority of the respondents believe that the audit is an effective measure to improve road safety (‘Great extent’ figure: 70%), they seem to be more sceptical when they are asked specifically about Greece (‘Great extent’ figure: 52%).



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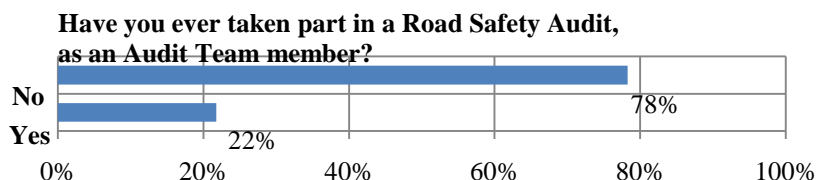
Figure 5 – Designers' approach: the effectiveness of the RSA in enhancing road safety (var. code name DA2)



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Figure 6 – Designers' approach: the RSA as a measure to improve the Greek network road safety level (var. code name DA3)

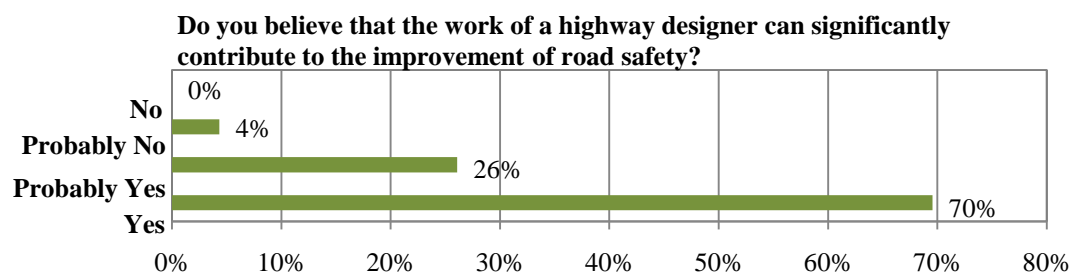
417 The responses obtained from each designer also depend on whether he or she has ever taken
418 part in a Road Safety Audit. The small percentage of Road Safety Auditors (almost one fifth
419 of the sample – Fig.7), can be explained by the fact that the survey was carried out only some
420 months after the process was legislated for in Greece.
421



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Figure 7 – Designers' characteristics: Road Safety Auditor (var. code name: DC3)

426 According to the Australian 'Safe System' (Turner et. al, 2009) (Austroads, 2009), part of the
427 solution suggested for obtaining safer travel is delivering safer roads and road sites to the
428 road users, something which primarily links to the work of highway designers. The following
429 question (Figure 8) practically shows whether the respondents share and appreciate the
430 aforementioned principle. It can be seen that almost everybody agrees or partly agrees that
431 the designer's work can significantly contribute to the improvement of road safety.
432

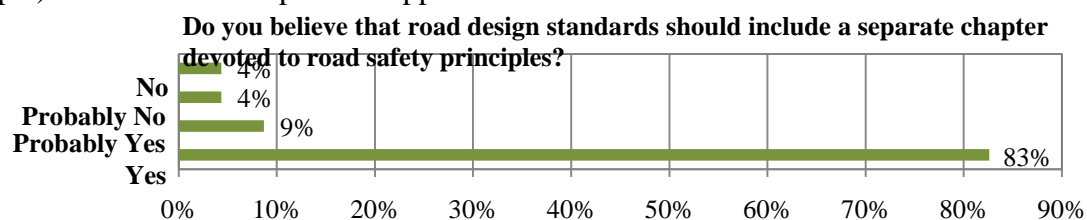


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Figure 8 – Designers’ approach: the contribution of the highway designer to improving road safety (var. code name DA4)

437

438 The graph below (Figure 9) shows the trend of the designers’ approach on whether road
439 safety principles should be included in the design standards as a separate section. Design
440 standards are documents that highway engineers normally get advice from on a regular basis.
441 Therefore a positive approach towards the inclusion of safety principles in the design
442 standards might suggest that the respondents hold either raised awareness of road safety or a
443 willingness to learn and enrich their knowledge more in this field. Although some negative
444 (‘probably not’ and ‘no’) answers were obtained, the majority of the designers (83% of the
445 sample) do indeed have a positive approach.



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Figure 9 – Designers’ approach: The inclusion of road safety principles in road design standards (var. code name DA5)

450

Results from the survey: Exploratory Factor Analysis

452

453 Statistical analysis was carried out in order to further investigate the existence of any
454 significant correlations between the respondents’ approach and/or characteristics. The
455 variables are actually the questions asked during the interviews (i.e. from the questionnaire);
456 the variables describe several characteristics or reported behaviour of the designers (variable
457 code name “designers’ characteristics – DC”) as well as their approach to specific road
458 safety-related issues in the design process (code name “designers’ approach – DA”).

459 Exploratory factor analysis (EFA) was applied on five variables that described the designers’
460 approach regarding Road Safety Audits (DA) and their own characteristics (DC). The
461 suitability of EFA method was confirmed using Bartlett’s test of sphericity and the Kaiser-
462 Meyer-Olkin index (KMO); both tests suggested that EFA was likely to give satisfactory
463 results (significance $p=0.007 < \alpha=0.05$ and $KMO=0.651 > 0.5$). Varimax orthogonal rotation
464 method was applied. Factor Analysis on five variables indicated that two factors could
465 explain 71.8% of total variance, with the first factor explaining 38.6% and the second one
466 explaining 33.2%. The fact that the two factors explain 71.8% of the total variance almost
467 equally, suggests that they are almost of equal importance.

468 Variables DA2, DA3 and DA5 that describe the designers’ attitudes/perceptions towards road
469 safety, load highly on factor 1, whereas variables DC3 and DC9 describing their involvement

470 in the RSA process, load highly on factor 2. It is worth noting here that loading factors
471 smaller than 0.2 are not reported below (Table 1).

472 In an attempt to create a label for both factors from the underlying variables, we could name
473 factor 1 as the designers' "attitudes to RSA and road safety" and factor 2 as their
474 "involvement in the RSA process".

475

476 **Table 1 - Rotated two-factor matrix** containing 5 variables of designers' attitudes and
477 reported behaviour-characteristics
478

Variable	Factor	
	1	2
DA2 (<i>To what extent do you believe that the RSA is an effective measure to enhance road safety?</i>)	0.839	
DA3 (<i>To what extent do you believe that the application of RSAs on the Greek road network will improve the country's road safety level?</i>)	0.730	0.315
DA5 (<i>Do you believe that road design standards should include a separate chapter devoted to road safety principles?</i>)	0.809	
DC3 (<i>Have you ever taken part in a Road Safety Audit, as an Audit Team member?</i>)		0.906
DC9 (<i>Have you ever used RSA handbooks when carrying out an Audit or design?</i>)		0.856

479

480 CONCLUSIONS

481

482 The comparison of the three documents revealed that Road Safety Audit guidelines must not
483 only focus on the process of the RSA, but should also include the road safety principles that
484 are identified from the research and experience in road safety engineering. In this way, the
485 users of the RSA Guidelines –who are not necessarily limited to road safety auditors– will
486 receive useful and up-to-date feedback about road safety issues. This need to provide up-to-
487 date information on road safety principles is addressed more systematically in the Australian
488 guidelines.

489 The RSA, as a process, must be implemented and applied with the appropriate flexibility by
490 highway authorities. This process should therefore not be seen by the authorities as another
491 rigid 'legal obligation' that they have to meet –although in some sense it is– but as an
492 opportunity that has to be integrated into their work plan. Making the RSA "work for you" is
493 a key recommendation to the highway authorities by the authors of the FHWA (2006)
494 guidelines.

495 The RSA is also a beneficial process to the highway designer; as is explicitly mentioned in
496 the British RSA Guidelines, the more audits are undertaken (on a specific engineer's
497 designs), the more safety problems the designer will avoid during the design process (i.e.
498 before the audit is carried out). This may lead to the conclusion that highway designers
499 should be encouraged to use the RSA Guidelines to assist them in the design process. The use
500 of these guidelines by the designers can range from the simple integration of safety checklists
501 into the design process (as part of the checks that are applied) to the thorough study and
502 understanding of safety principles (e.g. the 'safe system' approach). In the American and the
503 Australian RSA guidelines, an important step in the audit process is the feedback of the

504 knowledge and the experience gained from the audit to a variety of stakeholders, including
505 the designer.

506 The investigation of the Greek highway designers' approach to RSA revealed that, firstly, the
507 majority of the respondents find the RSA an effective process towards improving the safety
508 of a design and, at the same time, almost every designer believes that the implementation of
509 this process will have great or some positive impact on the safety level of the Greek road
510 network. These results indicate, on the basis of this survey's sample, a positive approach on
511 behalf of the highway designers in Greece towards this recently introduced process.
512 Furthermore, the designers in the sample explicitly recognise their own share of
513 responsibility for road safety problems and it can be said that they have developed some
514 awareness regarding their role in building a safe road network.

515 Although the aforementioned positive approach and awareness were identified from the
516 survey, almost half of the designers agreed with the prevalent view, according to which
517 "*compliance with standards equals a safe design*". This might reveal the existing need for
518 further education and training on road safety issues. It is worth noting that this need was also
519 appreciated by the majority of the respondents, when they were asked about their own
520 opinion for the inclusion of a separate road safety-related chapter in the technical standards.
521 In addition, during the interviews, some of the respondents pointed out that a Greek RSA
522 guidelines document would be a substantial aid during their work. This is a 'practical
523 verification' of the conclusion stated above that the user group of the RSA guidelines should
524 not be confined to road safety auditors.

525 Finally, the survey has shown that the level of knowledge about road safety is related with the
526 individual's involvement in undertaking road safety audits, so that the designers who also
527 served as auditors had a higher level of awareness of road safety issues. Hence the
528 implementation of the RSA and the subsequent involvement of designers in the audit process
529 are expected to positively affect the designers' approach to road safety.

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535

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538

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